

**Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation**

2002

Department of the Treasury
Internal Revenue Service

Note The organization may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2002, or tax year beginning 7/01, 2002, and ending 6/30, 2003

G Check all that apply Initial return Final return Amended return Address change Name change

Use the IRS label otherwise, print or type See Specific Instructions	THE ROBERT AND LOIS BRADDOCK CHARITABLE FOUNDATION C/O ROBERT C BRADDOCK 1221 BROADWAY, 21ST FLOOR OAKLAND, CA 94612-1867	A Employer identification number 68-0234966 B Telephone number (see instructions) (510) 419-2216 C If exemption application is pending, check here <input type="checkbox"/> D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		
I Fair market value of all assets at end of year (from Part II, column c, line 16) \$ <u>5,900,961</u>		J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I column d must be on cash basis)

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns b, c, and d may not necessarily equal the amounts in column a) (see instructions)</i>	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions gifts grants etc received (att sch) Ck <input type="checkbox"/> if the found is not req to att Sch B	250,000			
2 Distributions from split interest trusts				
3 Interest on savings and temporary cash investments	1,205	1,205		
4 Dividends and interest from securities	142,036	142,036		
5a Gross rents b (Net rental income or (loss))				
6a Net gain/(loss) from sale of assets not on line 10 b Gross sales prices for all assets on line 6a	-59,621	STATEMENT 1		
7 Capital gain net income (from Part IV line 2)		0		
8 Net short term capital gain			0.	
9 Income modifications				
10a Gross sales less returns and allowances b Less Cost of goods sold c Gross profit/(loss) (att sch)				
11 Other income (attach schedule) SEE STATEMENT 2	4,753			
12 Total Add lines 1 through 11	338,373	143,241	0	
13 Compensation of officers directors trustees etc				
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule) b Accounting fees (attach sch) SEE ST 3 c Other prof fees (attach sch) SEE ST 4	6,919 25,000			25,000
17 Interest 18 Taxes (attach schedule) SEE STMT 5 19 Depreciation (attach schedule) and depletion 20 Occupancy 21 Travel, conferences, and meetings 22 Printing and publications 23 Other expenses (attach schedule) SEE STATEMENT 6	169 3,389		125.	25 3,264
24 Total operating and administrative expenses Add lines 13 through 23	35,477	125		28,289
25 Contributions, gifts, grants paid PART XV	297,800			297,800
26 Total expenses and disbursements. Add lines 24 and 25	333,277	125	0.	326,089
27 Subtract line 26 from line 12 a Excess of revenue over expenses and disbursements b Net investment income (if negative enter 0) c Adjusted net income (if negative enter 0)	5,096.	143,116	0	

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end of year amounts only (See instructions)			
		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
ASSETS	1	Cash – non-interest bearing	25,959	298,684	298,684
	2	Savings and temporary cash investments	18,145	30,482	30,482
	3	Accounts receivable			
		Less allowance for doubtful accounts			
	4	Pledges receivable			
		Less allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach sch)			
		Less allowance for doubtful accounts			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments – U.S. and state government obligations (attach schedule)	344,867	203,337	217,726
	b	Investments – corporate stock (attach schedule)	5,034,524	4,943,351	4,437,238
	c	Investments – corporate bonds (attach schedule)	916,288	868,801	916,607
	11	Investments – land, buildings, and equipment basis			
	Less accumulated depreciation (attach schedule)				
12	Investments – mortgage loans				
13	Investments – other (attach schedule)				
14	Land, buildings, and equipment basis				
	Less accumulated depreciation (attach schedule)				
15	Other assets (describe SEE STATEMENT 7)		224	224	
16	Total assets (to be completed by all filers – see instructions Also, see page 1, item l)	6,339,783	6,344,879	5,900,961	
LIABILITIES	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, & other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe)			
	23	Total liabilities (add lines 17 through 22)	0	0	
NET ASSETS OR FUND BALANCES		Organizations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31 <input checked="" type="checkbox"/>			
	24	Unrestricted	6,339,783	6,344,879	
	25	Temporarily restricted			
	26	Permanently restricted			
		Organizations that do not follow SFAS 117, check here and complete lines 27 through 31 <input type="checkbox"/>			
	27	Capital stock, trust principal, or current funds			
	28	Paid in or capital surplus, or land, building, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	6,339,783	6,344,879	
31	Total liabilities and net assets/fund balances (see instructions)	6,339,783	6,344,879		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end of year figure reported on prior year's return)	1	6,339,783
2	Enter amount from Part I, line 27a	2	5,096
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	6,344,879
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	6,344,879

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2 story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (month, day year)	(d) Date sold (month, day year)
1a SEE STATEMENT 8			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Column (h) gain minus column (k), but not less than 0.) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) If gain, also enter in Part I, line 7 if (loss), enter 0 in Part I, line 7	2	-59,621
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter 0 in Part I, line 8 	3	-53,895.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the organization liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If 'Yes,' the organization does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable use assets	(d) Distribution ratio (column (b) divided by column (c))
2001	367,911.	6,333,936	0.058086
2000	447,256	7,228,181	0.061877
1999	402,197	7,295,321	0.055131
1998	421,364	6,794,327	0.062017
1997	388,331	6,100,969.	0.063651

2 Total of line 1, column (d)	2	0.300762
3 Average distribution ratio for the 5 year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.060152
4 Enter the net value of noncharitable use assets for 2002 from Part X, line 5	4	5,448,596.
5 Multiply line 4 by line 3	5	327,744
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,431
7 Add lines 5 and 6	7	329,175
8 Enter qualifying distributions from Part XII, line 4	8	326,089

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1 Date of ruling letter _____ (attach copy of ruling letter if necessary - see instructions)		1	2,862
b Domestic organizations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic organizations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter 0)		2	0
3 Add lines 1 and 2		3	2,862.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter 0)		4	0
5 Tax based on investment income Subtract line 4 from line 3 If zero or less, enter -0		5	2,862
6 Credits/Payments			
a 2002 estimated tax prmts and 2001 overpayment credited to 2002	6a	3,760	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7	3,760.	
8 Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	898	
11 Enter the amount on line 10 to be Credited to 2003 estimated tax	11	898	Refunded

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the organization attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the organization in connection with the activities</i>		X
c Did the organization file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the organization ▶ \$ 0 (2) On organization managers ▶ \$ 0.		
e Enter the reimbursement (if any) paid by the organization during the year for political expenditure tax imposed on organization managers. ▶ \$ 0		
2 Has the organization engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the organization made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the organization have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the organization have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CALIFORNIA		
b If the answer is 'Yes' to line 7, has the organization furnished a copy of Form 990 PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
9 Is the organization claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2002 or the taxable year beginning in 2002 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X
11 Did the organization comply with the public inspection requirements for its annual returns and exemption application? Web site address ▶ N/A	X	
12 The books are in care of ▶ ROBERT C BRADDOCK Telephone no ▶ (510) 419-2216 Located at ▶ 1221 BROADWAY, 21ST FLOOR OAKLAND CA ZIP + 4 ▶ 94612-1867		
13 Section 4947(a)(1) nonexempt charitable trusts filing Form 990 PF in lieu of Form 1041 - Check here and enter the amount of tax exempt interest received or accrued during the year	N/A	N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies

	Yes	No
1 a During the year did the organization (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6) Agree to pay money or property to a government official? (Exception: Check 'No' if the organization agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If any answer is 'Yes' to 1a(1) (6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here		N/A
c Did the organization engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2002?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the organization was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2002, did the organization have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2002? If 'Yes,' list the years	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
20__ , 20__ , 19__ , 19__		
b Are there any years listed in 2a for which the organization is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions)		N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here		
20__ , 20__ , 19__ , 19__		
3 a Did the organization hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If 'Yes,' did it have excess business holdings in 2002 as a result of (1) any purchase by the organization or disqualified persons after May 26, 1969, (2) the lapse of the 5 year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10 , 15 , or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the organization had excess business holdings in 2002)		N/A
4 a Did the organization invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the organization make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2002?		X
5 a During the year did the organization pay or incur any amount to		
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If any answer is 'Yes' to 5a(1) (5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here		N/A
c If the answer is 'Yes' to question 5a(4), does the organization claim exemption from the tax because it maintained expenditure responsibility for the grant? If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)	N/A <input type="checkbox"/> Yes <input type="checkbox"/> No	
6 a Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If you answered 'Yes' to 6b, also file 8870		X

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 9		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions) If none, enter 'None'

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ▶

3 Five highest-paid independent contractors for professional services — (see instructions) If none, enter 'None'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program related investments See instructions	
3	
Total Add lines 1 through 3	0

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a Average monthly fair market value of securities	1a	5,461,036
b Average of monthly cash balances	1b	70,534
c Fair market value of all other assets (see instructions)	1c	
d Total (add lines 1a, b and c)	1d	5,531,570
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2 Acquisition indebtedness applicable to line 1 assets	2	0
3 Subtract line 2 from line 1d	3	5,531,570
4 Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	82,974
5 Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	5,448,596
6 Minimum investment return Enter 5% of line 5	6	272,430

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part)

1 Minimum investment return from Part X, line 6	1	272,430
2a Tax on investment income for 2002 from Part VI, line 5	2a	2,862
b Income tax for 2002 (This does not include the tax from Part VI)	2b	
c Add lines 2a and 2b	2c	2,862
3 Distributable amount before adjustments Subtract line 2c from line 1	3	269,568
4a Recoveries of amounts treated as qualifying distributions	4a	
b Income distributions from section 4947(a)(2) trusts	4b	
c Add lines 4a and 4b	4c	
5 Add lines 3 and 4c	5	269,568
6 Deduction from distributable amount (see instructions)	6	
7 Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	269,568

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	326,089
b Program related investments - Total from Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	326,089
5 Organizations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6 Adjusted qualifying distributions Subtract line 5 from line 4	6	326,089

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2001	(c) 2001	(d) 2002
1 Distributable amount for 2002 from Part XI, line 7				269,568
2 Undistributed income, if any, as of the end of 2001				
a Enter amount for 2001 only			0	
b Total for prior years 20 __, 19 __, 19 __		0		
3 Excess distributions carryover, if any, to 2002				
a From 1997				
b From 1998	89,687			
c From 1999	52,794			
d From 2000	98,048			
e From 2001	54,959			
f Total of lines 3a through e	295,488			
4 Qualifying distributions for 2002 from Part XII, line 4 ▶ \$ <u>326,089.</u>				
a Applied to 2001, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required – see instructions)		0		
c Treated as distributions out of corpus (Election required – see instructions)	0			
d Applied to 2002 distributable amount				269,568.
e Remaining amount distributed out of corpus	56,521			
5 Excess distributions carryover applied to 2002 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	352,009			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b Taxable amount – see instructions		0		
e Undistributed income for 2001 Subtract line 4a from line 2a Taxable amount – see instructions			0	
f Undistributed income for 2002 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2003				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(E) or 4942(g)(3) (see instructions)	0			
8 Excess distributions carryover from 1997 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2003 Subtract lines 7 and 8 from line 6a	352,009			
10 Analysis of line 9				
a Excess from 1998	89,687			
b Excess from 1999	52,794			
c Excess from 2000	98,048			
d Excess from 2001	54,959			
e Excess from 2002	56,521.			

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year SEE STATEMENT 10				
Total			▶ 3a	297,800
b Approved for future payment				
Total			▶ 3b	

Schedule of Contributors

Supplementary information for
line 1 of Form 990, 990-EZ and 990-PF (see instructions)

2002

Name of organization	THE ROBERT AND LOIS BRADDOCK CHARITABLE FOUNDATION C/O ROBERT C BRADDOCK	Employer identification number	68-0234966
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Organization type (check one)

Filers of:

Form 990 or 990 EZ

Section:

- 501(c)(____) (enter number) organization
- 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- 527 political organization

Form 990 PF

- 501(c)(3) exempt private foundation
- 4947(a)(1) nonexempt charitable trust treated as a private foundation
- 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule** (Note Only a section 501(c)(7), (8), or (10) organization can check box(es) for both the General Rule and a Special Rule – see instructions)

General Rule –

- For organizations filing Form 990, 990 EZ, or 990 PF that received, during the year, \$5,000 or more (in money or property) from any one contributor (Complete Parts I and II)

Special Rules –

- For a section 501(c)(3) organization filing Form 990, or Form 990 EZ, that met the 33 1/3% support test of the regulations under sections 509(a)(1)/170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of \$5,000 or 2% of the amount on line 1 of these forms (Complete Parts I and II)
- For a section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990 EZ, that received from any one contributor, during the year, aggregate contributions or bequests of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals (Complete Parts I, II, and III)
- For a section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990-EZ, that received from any one contributor, during the year, some contributions for use *exclusively* for religious, charitable, etc. purposes, but these contributions did not aggregate to more than \$1,000 (If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc. purpose Do not complete any of the Parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc. contributions of \$5,000 or more during the year) ▶ \$ _____

Caution. Organizations that are not covered by the General Rule and/or the Special Rules do not file Schedule B (Form 990, 990-EZ, or 990 PF) but **must** check the box in the heading of their Form 990, Form 990-EZ, or on line 1 of their Form 990 PF, to certify that they do not meet the filing requirements of Schedule B (Form 990, 990 EZ, or 990-PF)

BAA For Paperwork Reduction Act Notice, see the instructions for Form 990 and Form 990-EZ

Schedule B (Form 990, 990 EZ, or 990-PF) (2002)

Name of organization

Employer identification number

THE ROBERT AND LOIS BRADDOCK CHARITABLE

68-0234966

Part I Contributors (See instructions)

(a) Number	(b) Name, address and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	LOIS BRADDOCK ----- 1129 BROOKVALE ----- SAN LEANDRO, CA 94577 -----	\$ 250,000 -----	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
-----	-----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
-----	-----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
-----	-----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
-----	-----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
-----	-----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)

Name of organization

Employer identification number

THE ROBERT AND LOIS BRADDOCK CHARITABLE

68-0234966

Part III Exclusively religious, charitable, etc, individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year (Complete cols (a) through (e) and the following line entry)

For organizations completing Part III, enter total of exclusively religious, charitable, etc, contributions of \$1,000 or less for the year (Enter this information once - see instructions) \$ N/A

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	N/A		
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
-----		-----	
-----		-----	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
-----		-----	
-----		-----	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
-----		-----	
-----		-----	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
-----		-----	
-----		-----	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
-----		-----	
-----		-----	

2002

FEDERAL STATEMENTS

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CLIENT 03544

THE ROBERT AND LOIS BRADDOCK CHARITABLE
FOUNDATION C/O ROBERT C. BRADDOCK

68-0234966

9/24/03

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STATEMENT 1
FORM 990-PF, PART I, LINE 6
NET GAIN (LOSS) FROM NONINVENTORY SALESPUBLICLY TRADED SECURITIESGROSS SALES PRICE: 1,001,712
COST OR OTHER BASIS 1,068,318.TOTAL GAIN (LOSS) PUBLICLY TRADED SECURITIES \$ -66,606.OTHER ASSETS

CAPITAL GAIN DIVIDENDS 6,985.

TOTAL GAIN (LOSS) OTHER ASSETS \$ 6,985TOTAL NET GAIN (LOSS) FROM NONINVENTORY SALES \$ -59,621STATEMENT 2
FORM 990-PF, PART I, LINE 11
OTHER INCOME

FEDERAL TAX REFUND

TOTAL \$ 4,753.
\$ 4,753STATEMENT 3
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	\$ 6,919			
TOTAL	\$ <u>6,919</u>	\$ <u>0</u>	\$ <u>0.</u>	\$ <u>0</u>

STATEMENT 4
FORM 990-PF, PART I, LINE 16C
OTHER PROFESSIONAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CONSULTING FEES	\$ 25,000			\$ 25,000.
TOTAL	\$ <u>25,000</u>	\$ <u>0</u>	\$ <u>0.</u>	\$ <u>25,000</u>

CLIENT 03544

THE ROBERT AND LOIS BRADDOCK CHARITABLE
FOUNDATION C/O ROBERT C. BRADDOCK

68-0234966

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STATEMENT 5
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX WITHHELD	\$ 144			
STATE FILING FEES	25			\$ 25
TOTAL	<u>\$ 169.</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 25.</u>

STATEMENT 6
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
DUES & SUBSCRIPTIONS	\$ 1,915			\$ 1,915.
INSURANCE	941.			941.
INVESTMENT FEE	125	\$ 125		
WEB HOSTING	408			408.
TOTAL	<u>\$ 3,389</u>	<u>\$ 125.</u>	<u>\$ 0</u>	<u>\$ 3,264</u>

STATEMENT 7
FORM 990-PF, PART II, LINE 15
OTHER ASSETS

	BOOK VALUE	FAIR MARKET VALUE
DIVIDENDS RECEIVABLE	\$ 224.	\$ 224
TOTAL	<u>\$ 224</u>	<u>\$ 224</u>

STATEMENT 8
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
1	01-06/03 SHORT TERM SALES (SEE ATTACHED)	PURCHASED	VARIOUS	VARIOUS
2	01-06/03 LONG TERM SALES (SEE ATTACHED)	PURCHASED	VARIOUS	VARIOUS
3	683 SH INTERPUBLIC GROUP OF COS INC	PURCHASED	VARIOUS	10/25/2002
4	ITC DELTACOM INC NEW - CASH IN LIEU	PURCHASED	10/30/2002	10/30/2002
5	1 SH AT&T CORP. NEW	PURCHASED	6/01/2001	11/18/2002
6	849 SH AOL TIME WARNER	PURCHASED	8/08/1996	8/06/2002
7	COMCAST CORP (NEW) CL A - CASH IN LIEU	PURCHASED	6/01/2001	11/18/2002
8	1286 SH INTERPUBLIC GROUP OF COS INC	PURCHASED	8/12/1996	10/25/2002
9	2173 SH NSP FINANCING TOP 7 7/8	PURCHASED	VARIOUS	11/13/2002
10	14,000 NW BELL TEL 6 1/4	PURCHASED	9/05/1996	11/15/2002
11	TRAVELERS PPTY CAS CP B - CASH IN LIEU	PURCHASED	10/08/1998	8/20/2002
12	TRAVELERS PPTY CAS CP A - CASH IN LIEU	PURCHASED	10/08/1998	8/20/2002

CLIENT 03544

THE ROBERT AND LOIS BRADDOCK CHARITABLE
FOUNDATION C/O ROBERT C. BRADDOCK

68-0234966

9/24/03

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STATEMENT 8 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD	(E) GROSS SALES	(F) DEPREC ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
13	2532 SH VIRGINIA PWR CAP	PURCHASED	VARIOUS	9/30/2002								
14	CAPITAL GAIN DIVIDENDS											
1					66,078		65,708	370.				\$ 370
2					780,977		824,317	-43,340				-43,340.
3					8,501.		19,427	-10,926.				-10,926.
4					1.		0	1.				1.
5					22.		67	-45				-45.
6					8,099		10,302.	-2,203				-2,203
7					16		0	16				16.
8					16,023		20,732	-4,709.				-4,709.
9					47,694		53,745	-6,051				-6,051
10					10,985.		12,981	-1,996.				-1,996.
11					12		0	12				12.
12					4.		0	4				4.
13					63,300		61,039	2,261				2,261.
14												6,985.
												TOTAL \$ -59,621.

STATEMENT 9
FORM 990-PF, PART VIII, LINE 1
LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED	COMPEN- SATION	CONTRI- BUTION TO EBP & DC	EXPENSE ACCOUNT/ OTHER
LOIS C BRADDOCK 1129 BROOKVALE DR SAN LEANDRO, CA 94577-3903	AS NEEDED	\$ 0	\$ 0.	\$ 0
ROBERT C BRADDOCK 7 STARVIEW DRIVE OAKLAND, CA 94618	AS NEEDED		0.	0
CHERYL LEE KEEMAR 1720 BARON CT DAYTONA BEACH, FL	AS NEEDED		0	0.
	TOTAL	\$ 0	\$ 0	\$ 0

CLIENT 03544

THE ROBERT AND LOIS BRADDOCK CHARITABLE
FOUNDATION C/O ROBERT C. BRADDOCK

68-0234966

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STATEMENT 10
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
LUNDQUIST COLLEGE OF BUSINESS 1208 UNIVERSITY OF OREGON EUGENE, OR 97403-1208	NONE		EXEMPT PURPOSE OF RECIPIENT	\$ 45,000
ARTHRITIS FOUNDATION 657 MISSION STREET, SUITE 603 SAN FRANCISCO, CA 94105	NONE		EXEMPT PURPOSE OF RECIPIENT	5,000
SAN LEANDRO PUBLIC LIBRARY FD 300 ESTUDILLO AVENUE SAN LEANDRO, CA 94577	NONE		EXEMPT PURPOSE OF RECIPIENT	40,000
UNIVERSITY OF OREGON FDN 2727 LEO HARRIS PARKWAY EUGENE, OR 97401	NONE		EXEMPT PURPOSE OF RECIPIENT	2,000
SAN LEANDRO BOYS & GIRLS CLUB P.O. BOX 234 SAN LEANDRO, CA 94577	NONE		EXEMPT PURPOSE OF RECIPIENT	25,000.
SAN LEANDRO PUBLIC LIBRARY FD 300 ESTUDILLO AVE SAN LEANDRO, CA 94577	NONE		EXEMPT PURPOSE OF RECIPIENT	15,000
ST. PETERS COMMUNITY ADULT DA 290 BROADMOOR BLVD SAN LEANDRO, CA 94577	NONE		EXEMPT PURPOSE OF RECIPIENT	10,000
AMERICAN CANCER SOCIETY 1700 WEBSTER STREET OAKLAND, CA 94612	NONE		EXEMPT PURPOSE OF RECIPIENT	5,000.
THE NATURE CONSERVANCY 2015 "J" STREET, SUITE 103 SACRAMENTO, CA 95814	NONE		EXEMPT PURPOSE OF RECIPIENT	10,000.
LEAGUE TO SAVE LAKE TAHOE 955 EMERALD BAY RD SOUTH LAKE TAHOE, CA 96150	NONE		EXEMPT PURPOSE OF RECIPIENT	2,000
AMERICAN LAND CONSERVANCY 1388 SUTTER STREET, #810 SAN FRANCISCO, CA 94109	NONE		EXEMPT PURPOSE OF RECIPIENT	10,000
FIRST PRESBYTERIAN CHURCH 180 ESTUDILLO AVE SAN LEANDRO, CA 94577	NONE		EXEMPT PURPOSE OF RECIPIENT	5,000.
ST. STEPHEN LUTHERAN CHURCH 2705 RANGE AVE #122 SANTA ROSA, CA 95403	NONE		EXEMPT PURPOSE OF RECIPIENT	3,000

CLIENT 03544

THE ROBERT AND LOIS BRADDOCK CHARITABLE
FOUNDATION C/O ROBERT C. BRADDOCK

68-0234966

9/24/03

02 44PM

STATEMENT 10 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

<u>NAME AND ADDRESS</u>	<u>DONEE RELATIONSHIP</u>	<u>FOUND- ATION STATUS</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
SOS/MEALS ON WHEELS 1435 GROVE WAY HAYWARD, CA 94546	NONE		EXEMPT PURPOSE OF RECIPIENT	\$ 1,000
EMBRY-RIDDLE AERONAUTICAL UNI 600 S CLYDE MORRIS BLVD. DAYTONA BEACH, CA 32114	NONE		EXEMPT PURPOSE OF RECIPIENT	36,000.
GEORGE MARK CHILDREN'S HOUSE 2201 BROADWAY, SUITE 308 OAKLAND, CA 94612	NONE		EXEMPT PURPOSE OF RECIPIENT	75,000
TRUCKEE RIVER HABITAT RESTOR P.O BOX 8428 TRUCKEE, CA 96162	NONE		EXEMPT PURPOSE OF RECIPIENT	5,000
EMBRY-RIDDLE AERONAUTICAL UNI 600 S CLYDE MORRIS BLVD DAYTONA BEACH, CA 32114	NONE		EXEMPT PURPOSE OF RECIPIENT	2,800.
WINGS OF HOPE FOUNDATION 4767 SOUTH ATLANTIC AVE, #604 PONCE INLET, FLORIDA, 32127	NONE		EXEMPT PURPOSE OF RECIPIENT	1,000.
TOTAL				\$ <u>297,800</u>

