Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note *The organization may be able to use a copy of this return to satisfy state reporting requirements*

OMB No 1545-0052

2001

For calendar year 2001, or tax year beginning 7/01 **, 2001, and ending** 6/30 **, 2002**

G Check all that apply [ ] Initial return [ ] Final return [ ] Amended return [ ] Address Change [ ] Name change

Use the IRS label Otherwise, print or type See Specific Instructions

THE ROBERT AND LOIS BRADDOCK CHARITABLE
FOUNDATION C/O ROBERT C BRADDOCK
1221 BROADWAY, 21ST FLOOR
OAKLAND, CA 94612-1867

A Employer identification Number
68-0234966

B Telephone Number (see instructions)
(510) 419-2216

C If exemption application is pending, check here ► [ ]

D 1 Foreign organizations, check here ► [ ]

2 Foreign organizations meeting the 85% test, check here and attach computation ► [ ]

E If private foundation status was terminated under section 507(b)(1)(A), check here ► [ ]

F If the foundation is in a 60 month termination under section 507(b)(1)(B), check here ► [ ]

H Check type of organization [X] Section 501(c)(3) exempt private foundation
[ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, column c, line 16)* ► $ 5,840,562

J Accounting method [X] Cash [ ] Accrual
[ ] Other (specify)
(Part I, column d must be on cash basis)

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns b, c and d may not necessarily equal the amounts in column a) (see instructions)*	**(a)** Revenue and expenses per books	**(b)** Net investment income	**(c)** Adjusted net income	**(d)** Disbursements for charitable purposes (cash basis only)
1 Contributions gifts grants etc received (att sch)	250,000			
Ck ► [ ] if the foundn is not req to att Sch B				
2 Distributions from split interest trusts				
3 Interest on savings and temporary cash investments	1,383	1,383		
4 Dividends and interest from securities	156,563	156,563		
5a Gross rents				
b (Net rental income or (loss))				
6a Net gain/(loss) from sale of assets not on line 10	29,490	STATEMENT 1		
b Gross sales prices for all assets on line 6a 879,990				
7 Capital gain net income (from Part IV line 2)		29,490		
8 Net short-term capital gain			0	
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit/(loss) (att sch)				
11 Other income (attach schedule)				
12 **Total** Add lines 1 through 11	437,436	187,436	0	
13 Compensation of officers directors trustees etc				
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach sch) SEE ST 2	11,545			
c Other prof fees (attach sch) SEE ST 3	30,095			30,095
17 Interest				
18 Taxes (attach schedule) SEE STMT 4	21,160			60
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) SEE STATEMENT 5	6,011	200		5,811
24 **Total operating and administrative expenses** Add lines 13 through 23	68,811	200		35,966
25 Contributions, gifts, grants paid PART XV	331,945			331,945
26 **Total expenses and disbursements** Add lines 24 and 25	400,756	200	0	367,911
27 Subtract line 26 from line 12				
a **Excess of revenue over expenses and disbursements**	36,680			
b **Net investment income** (if negative enter 0)		187,236		
c **Adjusted net income** (if negative enter 0)			0	

REVENUE / OPERATING AND ADMINISTRATIVE EXPENSES

FILMED NOV 1 8 2002

RECEIVED NOV 1 4 2002 OGDEN, UT

16

Part II Balance Sheets Attached schedules and amounts in the description column should be for end of year amounts only (See instructions)

		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
ASSETS	1 Cash – non interest-bearing	60,132	25,959	25,959
	2 Savings and temporary cash investments	11,890	18,145	18,145
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U S and state government obligations (attach schedule) STATEMENT 6	376,967	344,867	353,491
	b Investments – corporate stock (attach schedule) STATEMENT 7	5,854,114	5,034,524	4,517,819
	c Investments – corporate bonds (attach schedule) STATEMENT 8		916,288	925,148
	11 Investments – land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments – mortgage loans			
	13 Investments – other (attach schedule)			
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers – see instructions Also, see page 1, item I)	6,303,103	6,339,783	5,840,562
LIABILITIES	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0	0	
NET ASSETS OR FUND BALANCES	**Organizations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted	6,303,103	6,339,783	
	25 Temporarily restricted			
	26 Permanently restricted			
	Organizations that do not follow SFAS 117, check here ▶ [ ] and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds			
	28 Paid in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances** (see instructions)	6,303,103	6,339,783	
	31 **Total liabilities and net assets/fund balances** (see instructions)	6,303,103	6,339,783	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end of-year figure reported on prior year's return)	1	6,303,103
2	Enter amount from Part I, line 27a	2	36,680
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	6,339,783
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	6,339,783

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2 story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month day year)	(d) Date sold (month day year)
1a SEE STATEMENT 9			
b			
c			
d			
e			

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (column (h) gain minus column (k), but not less than 0) or Losses (from column (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7; If (loss), enter 0 in Part I, line 7	2	29,490
3	Net short term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter 0- in Part I, line 8	3	-5,136

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies leave this part blank

Was the organization liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the organization does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable use assets	(d) Distribution ratio (column (b) divided by column (c))
2000	447,256	7,228,181	0 061877
1999	402,197	7,295,321	0 055131
1998	421,364	6,794,327	0 062017
1997	388,331	6,100,969	0 063651
1996	490,013	4,562,592	0 107398

2	**Total** of line 1, column (d)	2	0 350074
3	Average distribution ratio for the 5 year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 070015
4	Enter the net value of noncharitable-use assets for 2001 from Part X, line 5	4	6,333,936
5	Multiply line 4 by line 3	5	443,471
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,872
7	Add lines 5 and 6	7	445,343
8	Enter qualifying distributions from Part XII, line 4	8	367,911

If line 8 is equal to or greater than line 7 check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in Section 4940(d)(2), check here ► ☐ and enter 'N/A' on line 1			
Date of ruling letter _______ (attach copy of ruling letter if necessary – see instructions)			
b Domestic organizations that meet the Section 4940(e) requirements in Part V, check here ► ☐ and enter 1% of Part I, line 27b		1	3,745
c All other domestic organizations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under Section 511 (domestic Section 4947(a)(1) trusts and taxable foundations only Others enter 0)		2	0
3 Add lines 1 and 2		3	3,745
4 Subtitle A (income) tax (domestic Section 4947(a)(1) trusts and taxable foundations only Others enter 0)		4	0
5 **Tax based on investment income** Subtract line 4 from line 3 If zero or less, enter 0		5	3,745
6 Credits/Payments			
a 2001 estimated tax pmts and 2000 overpayment credited to 2001	6a 15,500		
b Exempt foreign organizations – tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d		7	15,500
8 Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9 **Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ►		9	
10 **Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ►		10	11,755
11 Enter the amount on line 10 to be Credited to 2002 estimated tax ► 3,760	Refunded ►	11	7,995

Part VII-A Statements Regarding Activities

		Yes	No
1 a During the tax year, did the organization attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?	1b		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the organization in connection with the activities			
c Did the organization file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (Section 4955) imposed during the year (1) On the organization ► $ 0 (2) On organization managers ► $ 0			
e Enter the reimbursement (if any) paid by the organization during the year for political expenditure tax imposed on organization managers ► $ 0			
2 Has the organization engaged in any activities that have not previously been reported to the IRS?	2		X
If 'Yes,' attach a detailed description of the activities			
3 Has the organization made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes' attach a conformed copy of the changes*	3		X
4a Did the organization have unrelated business gross income of $1 000 or more during the year?	4a		X
b If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		X
If 'Yes' attach the statement required by General Instruction T			
6 Are the requirements of Section 508(e) (relating to Sections 4941 through 4945) satisfied either • By language in the governing instrument or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the organization have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ► CALIFORNIA			
b If the answer is 'Yes' to line 7, has the organization furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If 'No,' attach explanation*	8b	X	
9 Is the organization claiming status as a private operating foundation within the meaning of Section 4942(j)(3) or 4942(j)(5) for calendar year 2001 or the taxable year beginning in 2001 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? *If 'Yes' attach a schedule listing their names and addresses*	10		X
11 Did the organization comply with the public inspection requirements for its annual returns and exemption application?	11	X	

Web site address ► N/A

12 The books are in care of ► ROBERT C BRADDOCK Telephone no ► (510) 419-2216

Located at ► 1221 BROADWAY, 21ST FLOOR OAKLAND CA ZIP + 4 ► 94612-1867

13 Section 4947(a)(1) nonexempt charitable trusts filing Form 990 PF in lieu of **Form 1041** – Check here N/A ► ☐

and enter the amount of tax exempt interest received or accrued during the year ► 13 N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies

			Yes	No
1a During the year did the organization (either directly or indirectly)				
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
(6) Agree to pay money or property to a government official? (**Exception.** Check 'No' if the organization agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b If any answer is 'Yes' to 1a(1) (6), did **any** of the acts fail to qualify under the exceptions described in Regulations Section 53 4941(d) 3 or in a current notice regarding disaster assistance (see instructions)?		1b	N/A	
Organizations relying on a current notice regarding disaster assistance check here	► ☐			
c Did the organization engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2001?		1c		X
2 Taxes on failure to distribute income (Section 4942) (does not apply for years the organization was a private operating foundation defined in Section 4942(j)(3) or 4942(j)(5))				
a At the end of tax year 2001, did the organization have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2001?	☐ Yes ☒ No			
If 'Yes,' list the years ► 20__ , 19__ , 19__ , 19__				
b Are there any years listed in 2a for which the organization is **not** applying the provisions of Section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying Section 4942(a)(2) to all years listed, answer 'No and attach statement — see instructions)		2b	N/A	
c If the provisions of Section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ► 20__ , 19__ , 19__ , 19__				
3a Did the organization hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b If 'Yes,' did it have excess business holdings in 2001 as a result of **(1)** any purchase by the organization or disqualified persons after May 26, 1969, **(2)** the lapse of the 5 year period (or longer period approved by the Commissioner under Section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10 , 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the organization had excess business holdings in 2001)*		3b	N/A	
4a Did the organization invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b Did the organization make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2001?		4b		X
5a During the year did the organization pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (Section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see Section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc, organization described in Section 509(a)(1), (2), or (3), or Section 4940(d)(2)?	☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is 'Yes' to 5a(1) (5) did **any** of the transactions fail to qualify under the exceptions described in Regulations Section 53 4945 or in a current notice regarding disaster assistance (see instructions)?		5b	N/A	
Organizations relying on a current notice regarding disaster assistance check here	► ☐			
c If the answer is 'Yes to question 5a(4), does the organization claim exemption from the tax because it maintained expenditure responsibility for the grant?	N/A ☐ Yes ☐ No			
If 'Yes,' attach the statement required by Regulations Section 53 4945 5(d)				
6a Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b Did the organization, during the year, pay premiums directly or indirectly, on a personal benefit contract?		6b		X
If you answered 'Yes' to 6b, also file 8870				

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions)

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions) If none, enter 'None'

(a) Name and address of each employee paid more than $50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over $50,000 ►				

3 Five highest-paid independent contractors for professional services – (see instructions) If none, enter 'None.'

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ►		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program related investments See instructions 3	
Total Add lines 1 through 3 ►	0

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes a Average monthly fair market value of securities			1a	6,368,223
b Average of monthly cash balances			1b	62,169
c Fair market value of all other assets (see instructions)			1c	
d **Total** (add lines 1a, b and c)			1d	6,430,392
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0		
2 Acquisition indebtedness applicable to line 1 assets			2	0
3 Subtract line 2 from line 1d			3	6,430,392
4 Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)			4	96,456
5 **Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4			5	6,333,936
6 **Minimum investment return** Enter 5% of line 5			6	316,697

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part)

1 Minimum investment return from Part X, line 6			1	316,697
2a Tax on investment income for 2001 from Part VI, line 5	2a	3,745		
b Income tax for 2001 (This does not include the tax from Part VI)	2b			
c Add lines 2a and 2b			2c	3,745
3 Distributable amount before adjustments Subtract line 2c from line 1			3	312,952
4a Recoveries of amounts treated as qualifying distributions	4a			
b Income distributions from section 4947(a)(2) trusts	4b			
c Add lines 4a and 4b			4c	
5 Add lines 3 and 4c			5	312,952
6 Deduction from distributable amount (see instructions)			6	
7 **Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	312,952

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes a Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1a	367,911
b Program-related investments — Total from Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 **Qualifying distributions** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	367,911
5 Organizations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6 **Adjusted qualifying distributions.** Subtract line 5 from line 4	6	367,911

Note *The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years*

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2000	(c) 2000	(d) 2001
1 Distributable amount for 2001 from Part XI, line 7				312,952
2 Undistributed income, if any, as of the end of 2000				
a Enter amount for 2000 only			0	
b Total for prior years 20___, 19___, 19___		0		
3 Excess distributions carryover, if any, to 2001				
a From 1996				
b From 1997				
c From 1998 89,687				
d From 1999 52,794				
e From 2000 98,048				
f **Total** of lines 3a through e	240,529			
4 Qualifying distributions for 2001 from Part XII, line 4 ► $ 367,911				
a Applied to 2000, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required – see instructions)		0		
c Treated as distributions out of corpus (Election required – see instructions)	0			
d Applied to 2001 distributable amount				312,952
e Remaining amount distributed out of corpus	54,959			
5 Excess distributions carryover applied to 2001 *(If an amount appears in column (d), the same amount must be shown in column (a))*	0			0
6 **Enter the net total of each column as indicated below.**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	295,488			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years undistributed income for which a notice of deficiency has been issued or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b Taxable amount – see instructions		0		
e Undistributed income for 2000 Subtract line 4a from line 2a Taxable amount – see instructions			0	
f Undistributed income for 2001 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2002				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(E) or 4942(g)(3) (see instructions)	0			
8 Excess distributions carryover from 1996 not applied on line 5 or line 7 (see instructions)	0			
9 **Excess distributions carryover to 2002** Subtract lines 7 and 8 from line 6a	295,488			
10 Analysis of line 9				
a Excess from 1997				
b Excess from 1998 89,687				
c Excess from 1999 52,794				
d Excess from 2000 98,048				
e Excess from 2001 54,959				

Part XIV Private Operating Foundations (see instructions and Part VII A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2001, enter the date of the ruling ▶

b Check box to indicate whether the organization is a private operating foundation described in Section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2001	(b) 2000	(c) 1999	(d) 1998	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a 'Assets' alternative test – enter					
(1) Value of all assets					
(2) Value of assets qualifying under Section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – Enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (Section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in Section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year.)

1 **Information Regarding Foundation Managers**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See Section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs**

Check here ▶ ☐ if the organization only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the organization makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

SEE STATEMENT 11

b The form in which applications should be submitted and information and materials they should include

BY LETTER INDICATING PURPOSE, PLAN, AND PARTICIPANTS

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE STATEMENT 12				
Total			► 3a	331,945
b Approved for future payment				
Total			► 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (see instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					1,383
4 Dividends and interest from securities					156,563
5 Net rental income or (loss) from real estate					
a Debt financed property					
b Not debt financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					29,490
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)					187,436

13 **Total** Add line 12, columns (b), (d), and (e) ► 13 187,436

(See worksheet in the instructions for line 13 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the organization s exempt purposes (other than by providing funds for such purposes) (See instructions)
	CHARITABLE GRANTS WERE MADE TO VARIOUS GOVERNMENT AGENCIES AND OTHER SECTION
	501(C)(3) ORGANIZATIONS FOR UNRESTRICTED USE IN THEIR RESPECTIVE CHARITABLE
	PURPOSES

Part XVII Information Regarding Transfers to and Transactions and Relationships with Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in Section 501(c) of the Code (other than Section 501(c)(3) organizations) or in Section 527, relating to political organizations?			
a Transfers from the reporting organization to a noncharitable exempt organization of			
(1) Cash	**1a(1)**		X
(2) Other assets	**1a(2)**		X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	**1b(1)**		X
(2) Purchases of assets from a noncharitable exempt organization	**1b(2)**		X
(3) Rental of facilities, equipment, or other assets	**1b(3)**		X
(4) Reimbursement arrangements	**1b(4)**		X
(5) Loans or loan guarantees	**1b(5)**		X
(6) Performance of services or membership or fundraising solicitations	**1b(6)**		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	**1c**		X

d If the answer to any of the above is 'Yes,' complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting organization If the organization received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements
N/A			

2a Is the organization directly or indirectly affiliated with or related to, one or more tax exempt organizations described in Section 501(c) of the Code (other than Section 501(c)(3)) or in Section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship
N/A		

[redacted] accompanying schedules and statements and to the best of my knowledge and belief it is true [redacted]

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

Supplementary information for line 1 of Form 990, 990-EZ and 990-PF (see instructions)

OMB No 1545-0047

2001

Name of Organization THE ROBERT AND LOIS BRADDOCK CHARITABLE FOUNDATION C/O ROBERT C BRADDOCK

Employer Identification Number 68-0234966

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990 EZ	☐ 501(c)(____) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990 PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **general rule** or a **special rule** (**Note** *Only a Section 501(c)(7), (8), or (10) organization can check box(es) for both the general rule and a special rule — see instructions*)

General Rule –

☒ For organizations filing Form 990, 990 EZ, or 990 PF that received, during the year, $5,000 or more (in money or property) from any one contributor (Complete Parts I and II)

Special Rules –

☐ For a Section 501(c)(3) organization filing Form 990, or Form 990-EZ, that met the 33 1/3% support test of the regulations under sections 509(a)(1)/170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of $5,000 or 2% of the amount on line 1 of these forms (Complete Parts I and II)

☐ For a Section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990-EZ, that received from any one contributor, during the year, aggregate contributions or bequests of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals (Complete Parts I, II, and III)

☐ For a Section 501(c)(7), (8) or (10) organization filing Form 990, or Form 990 EZ, that received from any one contributor, during the year, some contributions for use *exclusively* for religious, charitable, etc, purposes, but these contributions did not aggregate to more than $1 000 (If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc purpose Do not complete any of the Parts unless the general rule applies to this organization because it received nonexclusively religious, charitable, etc , contributions of $5,000 or more during the year) ► $________

Caution *Organizations that are not covered by the general rule and/or the special rules do not file Schedule B (Form 990, 990-EZ, or 990 PF) but* ***must*** *check the box in the heading of their Form 990, Form 990 EZ, or on line 1 of their Form 990 PF, to certify that they do not meet the filing requirements of Schedule B (Form 990, 990 EZ, or 990 PF)*

Name of Organization	Employer Identification Number
THE ROBERT AND LOIS BRADDOCK CHARITABLE	68-0234966

Part I **Contributors** (see instructions)

(a) Number	(b) Name, address and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	LOIS BRADDOCK 1129 BROOKVALE SAN LEANDRO, CA 94577	$ 250,000	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is noncash contribution)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is noncash contribution)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is noncash contribution)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is noncash contribution)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is noncash contribution)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is noncash contribution)

Name of Organization	Employer Identification Number
THE ROBERT AND LOIS BRADDOCK CHARITABLE	68-0234966

Part II Noncash Property

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of Organization	Employer Identification Number
THE ROBERT AND LOIS BRADDOCK CHARITABLE	68-0234966

Part III ***Exclusively* religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than $1,000 for the year** (Complete cols (a) through (e) and the following line entry)

For organizations completing Part III, enter total of *exclusively* religious, charitable, etc , contributions of **$1,000** or less for the year (enter this information once – see instructions) ► $

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

STATEMENT 1
FORM 990-PF, PART I, LINE 6
NET GAIN (LOSS) FROM NONINVENTORY SALES

PUBLICLY TRADED SECURITIES

GROSS SALES PRICE	862,611	
COST OR OTHER BASIS	850,500	
TOTAL GAIN (LOSS) PUBLICLY TRADED SECURITIES		$ 12,111

OTHER ASSETS

CAPITAL GAIN DIVIDENDS	17,379
TOTAL GAIN (LOSS) OTHER ASSETS	$ 17,379
TOTAL NET GAIN (LOSS) FROM NONINVENTORY SALES	$ 29,490

STATEMENT 2
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	$ 11,545			
TOTALS	$ 11,545	$ 0	$ 0	$ 0

STATEMENT 3
FORM 990-PF, PART I, LINE 16C
OTHER PROFESSIONAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CONSULTING FEES	$ 30,095			$ 30,095
TOTALS	$ 30,095	$ 0	$ 0	$ 30,095

STATEMENT 4
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL TAX ON INVESTMENT INCOME	$ 21,100			
STATE FILING FEES	60			$ 60
TOTALS	$ 21,160	$ 0	$ 0	$ 60

STATEMENT 5
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
DUES & SUBSCRIPTIONS	$ 2,543			$ 2,543
INSURANCE	624			624
INVESTMENT FEE	200	$ 200		
WEB HOSTING	2,644			2,644
TOTALS	$ 6,011	$ 200	$ 0	$ 5,811

STATEMENT 6
FORM 990-PF, PART II, LINE 10A
INVESTMENTS - U.S. AND STATE GOVERNMENT OBLIGATIONS

U.S. GOVERNMENT OBLIGATIONS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
GOVERNMENT SECURITIES	COST	$ 344,867	$ 353,491
		$ 344,867	$ 353,491
	TOTAL	$ 344,867	$ 353,491

STATEMENT 7
FORM 990-PF, PART II, LINE 10B
INVESTMENTS - CORPORATE STOCKS

CORPORATE STOCKS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
DEAN WITTER MUTUAL FUNDS AND STOCK	COST	$ 5,034,524	$ 4,517,819
	TOTAL	$ 5,034,524	$ 4,517,819

STATEMENT 8
FORM 990-PF, PART II, LINE 10C
INVESTMENTS - CORPORATE BONDS

CORPORATE BONDS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
DEAN WITTER CORPORATE FIXED INCOME	COST	$ 916,288	$ 925,148
	TOTAL	$ 916,288	$ 925,148

STATEMENT 9
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

1)
(A) DESCRIPTION AT&T WIRELESS - CASH IN LIEU
(B) HOW ACQUIRED PURCHASED

STATEMENT 9 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

(C) DATE ACQUIRED 6/01/01
(D) DATE SOLD 7/06/01

2)
(A) DESCRIPTION 44 SHS AT&T WIRELESS
(B) HOW ACQUIRED PURCHASED
(C) DATE ACQUIRED 6/01/01
(D) DATE SOLD 10/10/01

3)
(A) DESCRIPTION 10,000 FHLB
(B) HOW ACQUIRED PURCHASED
(C) DATE ACQUIRED 1/16/01
(D) DATE SOLD 11/19/01

4)
(A) DESCRIPTION ZIMMER HLDGS - CASH IN LIEU
(B) HOW ACQUIRED PURCHASED
(C) DATE ACQUIRED 1/11/01
(D) DATE SOLD 8/06/01

5)
(A) DESCRIPTION 15 SHS ZIMMER HLDGS
(B) HOW ACQUIRED PURCHASED
(C) DATE ACQUIRED 1/11/01
(D) DATE SOLD 10/10/01

6)
(A) DESCRIPTION 38,000 CHES POT TEL
(B) HOW ACQUIRED PURCHASED
(C) DATE ACQUIRED 8/09/96
(D) DATE SOLD 10/19/01

7)
(A) DESCRIPTION 38,000 DUKE POWER
(B) HOW ACQUIRED PURCHASED
(C) DATE ACQUIRED 8/09/96
(D) DATE SOLD 11/05/01

8)
(A) DESCRIPTION 20 SHS ENRON CORP
(B) HOW ACQUIRED PURCHASED
(C) DATE ACQUIRED 8/08/96
(D) DATE SOLD 11/28/01

9)
(A) DESCRIPTION 1,000 SHS ENRON CORP
(B) HOW ACQUIRED PURCHASED
(C) DATE ACQUIRED 8/08/96
(D) DATE SOLD 11/28/01

10)
(A) DESCRIPTION 40,000 FHLB
(B) HOW ACQUIRED PURCHASED
(C) DATE ACQUIRED 8/08/96
(D) DATE SOLD 11/05/01

**STATEMENT 9 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

11)
(A) DESCRIPTION 40,000 FHLMC
(B) HOW ACQUIRED PURCHASED
(C) DATE ACQUIRED 8/22/96
(D) DATE SOLD 10/18/01

12)
(A) DESCRIPTION 45,000 FHLMC
(B) HOW ACQUIRED PURCHASED
(C) DATE ACQUIRED 1/07/00
(D) DATE SOLD 11/15/01

13)
(A) DESCRIPTION 40,000 GMAC
(B) HOW ACQUIRED PURCHASED
(C) DATE ACQUIRED 8/13/98
(D) DATE SOLD 10/19/01

14)
(A) DESCRIPTION 39,000 NEW YORK TEL CO
(B) HOW ACQUIRED PURCHASED
(C) DATE ACQUIRED 8/14/96
(D) DATE SOLD 12/31/01

15)
(A) DESCRIPTION 39,000 NORWEST FINL INC
(B) HOW ACQUIRED PURCHASED
(C) DATE ACQUIRED 8/09/96
(D) DATE SOLD 11/01/01

16)
(A) DESCRIPTION 38,000 PACIFIC BELL
(B) HOW ACQUIRED PURCHASED
(C) DATE ACQUIRED 8/09/96
(D) DATE SOLD 11/06/01

17)
(A) DESCRIPTION 38,000 US TSY BD
(B) HOW ACQUIRED PURCHASED
(C) DATE ACQUIRED 1/11/99
(D) DATE SOLD 10/19/01

18)
(A) DESCRIPTION 5,000 US TSY BD
(B) HOW ACQUIRED PURCHASED
(C) DATE ACQUIRED 1/11/99
(D) DATE SOLD 10/19/01

19)
(A) DESCRIPTION 13 SHS WORLDCOM
(B) HOW ACQUIRED PURCHASED
(C) DATE ACQUIRED 1/06/99
(D) DATE SOLD 10/10/01

20)
(A) DESCRIPTION 163 SHS TYCO INTL
(B) HOW ACQUIRED PURCHASED

STATEMENT 9 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

(C) DATE ACQUIRED 2/01/01
(D) DATE SOLD 1/30/02

21)
(A) DESCRIPTION 211 SHS TYCO INTL
(B) HOW ACQUIRED PURCHASED
(C) DATE ACQUIRED 3/24/99
(D) DATE SOLD 1/30/02

22)
(A) DESCRIPTION 95 SHS TYCO INTL
(B) HOW ACQUIRED PURCHASED
(C) DATE ACQUIRED 3/24/99
(D) DATE SOLD 1/30/02

23)
(A) DESCRIPTION 154 SHS TYCO INTL
(B) HOW ACQUIRED PURCHASED
(C) DATE ACQUIRED 6/09/99
(D) DATE SOLD 1/30/02

24)
(A) DESCRIPTION 172 SHS TYCO INTL
(B) HOW ACQUIRED PURCHASED
(C) DATE ACQUIRED 1/11/01
(D) DATE SOLD 1/30/02

25)
(A) DESCRIPTION 701 446 SHS WILLIAMS CO
(B) HOW ACQUIRED PURCHASED
(C) DATE ACQUIRED 8/08/96
(D) DATE SOLD 1/30/02

26)
(A) DESCRIPTION 517 554 SHS WILLIAMS CO
(B) HOW ACQUIRED PURCHASED
(C) DATE ACQUIRED 8/08/96
(D) DATE SOLD 1/30/02

27)
(A) DESCRIPTION 49 SHS WILLIAMS CO
(B) HOW ACQUIRED PURCHASED
(C) DATE ACQUIRED 8/08/96
(D) DATE SOLD 1/30/02

28)
(A) DESCRIPTION 281 SHS WILLIAMS CO
(B) HOW ACQUIRED PURCHASED
(C) DATE ACQUIRED 1/11/01
(D) DATE SOLD 1/30/02

29)
(A) DESCRIPTION 330 SHS WORLDCOM
(B) HOW ACQUIRED PURCHASED
(C) DATE ACQUIRED 1/06/99
(D) DATE SOLD 1/30/02

STATEMENT 9 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

30)
(A) DESCRIPTION 1,530 SHS MEDIAONE
(B) HOW ACQUIRED PURCHASED
(C) DATE ACQUIRED 8/02/96
(D) DATE SOLD 3/04/02

31)
(A) DESCRIPTION SMUCKER - CASH IN LIEU
(B) HOW ACQUIRED PURCHASED
(C) DATE ACQUIRED 6/01/01
(D) DATE SOLD 5/31/02

32)
(A) DESCRIPTION 1,598 295 SHS ALLIANCE GRWTH B
(B) HOW ACQUIRED PURCHASED
(C) DATE ACQUIRED 5/27/99
(D) DATE SOLD 6/10/02

33)
(A) DESCRIPTION 13 SHS AMERICAN INTL GRP
(B) HOW ACQUIRED PURCHASED
(C) DATE ACQUIRED 8/06/96
(D) DATE SOLD 6/10/02

34)
(A) DESCRIPTION 500 SHS AMERICAN INTL GRP
(B) HOW ACQUIRED PURCHASED
(C) DATE ACQUIRED 8/06/96
(D) DATE SOLD 6/10/02

35)
(A) DESCRIPTION 1,131 222 SHS AMERICAN OPP
(B) HOW ACQUIRED PURCHASED
(C) DATE ACQUIRED 3/05/98
(D) DATE SOLD 6/05/02

36)
(A) DESCRIPTION 858 SHS AOL TIME WARNER
(B) HOW ACQUIRED PURCHASED
(C) DATE ACQUIRED 8/08/96
(D) DATE SOLD 6/05/02

37)
(A) DESCRIPTION 142 SHS AOL TIME WARNER
(B) HOW ACQUIRED PURCHASED
(C) DATE ACQUIRED 1/07/00
(D) DATE SOLD 6/05/02

38)
(A) DESCRIPTION 100 SHS BANK OF AMERICA
(B) HOW ACQUIRED PURCHASED
(C) DATE ACQUIRED 8/06/96
(D) DATE SOLD 6/10/02

39)
(A) DESCRIPTION 41 SHS BANK OF AMERICA
(B) HOW ACQUIRED PURCHASED

STATEMENT 9 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

(C) DATE ACQUIRED 8/06/96
(D) DATE SOLD 6/10/02

40)
(A) DESCRIPTION 53 SHS CHUBB
(B) HOW ACQUIRED PURCHASED
(C) DATE ACQUIRED 8/06/96
(D) DATE SOLD 6/05/02

41)
(A) DESCRIPTION 53 SHS CHUBB
(B) HOW ACQUIRED PURCHASED
(C) DATE ACQUIRED 8/06/96
(D) DATE SOLD 6/05/02

42)
(A) DESCRIPTION 147 SHS CHUBB
(B) HOW ACQUIRED PURCHASED
(C) DATE ACQUIRED 8/06/96
(D) DATE SOLD 6/05/02

43)
(A) DESCRIPTION 572 648 SHS DIVIDEND GRWTH B
(B) HOW ACQUIRED PURCHASED
(C) DATE ACQUIRED 3/05/98
(D) DATE SOLD 6/10/02

44)
(A) DESCRIPTION 617 SHS GENERAL ELECTRIC
(B) HOW ACQUIRED PURCHASED
(C) DATE ACQUIRED 8/07/96
(D) DATE SOLD 6/05/02

45)
(A) DESCRIPTION 1,000 SHS HEALTH MGMT ASSOC
(B) HOW ACQUIRED PURCHASED
(C) DATE ACQUIRED 8/07/96
(D) DATE SOLD 6/05/02

46)
(A) DESCRIPTION 49 SHS HEALTH MGMT ASSOC
(B) HOW ACQUIRED PURCHASED
(C) DATE ACQUIRED 8/07/96
(D) DATE SOLD 6/05/02

47)
(A) DESCRIPTION 554 SHS HOME DEPOT
(B) HOW ACQUIRED PURCHASED
(C) DATE ACQUIRED 8/12/96
(D) DATE SOLD 6/11/02

48)
(A) DESCRIPTION 2,771 619 SHS US GOVT SEC TR
(B) HOW ACQUIRED PURCHASED
(C) DATE ACQUIRED 1/13/00
(D) DATE SOLD 6/05/02

STATEMENT 9 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

49)
(A) DESCRIPTION 2,050 998 SHS US GOVT SEC TR
(B) HOW ACQUIRED PURCHASED
(C) DATE ACQUIRED 1/13/00
(D) DATE SOLD 6/10/02

50)
(A) DESCRIPTION 755 SHS UNION BK
(B) HOW ACQUIRED PURCHASED
(C) DATE ACQUIRED 2/24/99
(D) DATE SOLD 6/10/02

51)
(A) DESCRIPTION 1,613 945 SHS VALUE-ADDED B
(B) HOW ACQUIRED PURCHASED
(C) DATE ACQUIRED 3/05/98
(D) DATE SOLD 6/05/02

52)
(A) DESCRIPTION 996 SHS VIRGINIA PWR
(B) HOW ACQUIRED PURCHASED
(C) DATE ACQUIRED 8/02/96
(D) DATE SOLD 6/05/02

53)
(A) DESCRIPTION CAPITAL GAIN DIVIDENDS

	(E) GROSS SALES	(F) DEPREC ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ BAS 12/31/69	(K) EXCESS (I)-(J)	(L) GAIN (LOSS)
1)	12		0	12				12
2)	662		664	-2				-2
3)	10,000		9,926	74				74
4)	14		0	14				14
5)	403		486	-83				-83
6)	39,233		37,147	2,086				2,086
7)	38,251		36,738	1,513				1,513
8)	9		406	-397				-397
9)	580		20,293	-19,713				-19,713
10)	43,198		39,215	3,983				3,983
11)	40,000		37,052	2,948				2,948
12)	45,000		41,402	3,598				3,598
13)	40,411		40,202	209				209
14)	39,480		39,051	429				429
15)	40,558		37,572	2,986				2,986
16)	39,708		37,036	2,672				2,672
17)	35,345		34,273	1,072				1,072
18)	4,648		4,510	138				138
19)	162		680	-518				-518
20)	4,819		9,988	-5,169				-5,169
21)	6,238		7,853	-1,615				-1,615
22)	2,809		3,536	-727				-727
23)	4,553		6,993	-2,440				-2,440
24)	5,085		10,166	-5,081				-5,081
25)	10,424		10,516	-92				-92
26)	7,691		7,761	-70				-70

STATEMENT 9 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

	(E) GROSS SALES	(F) DEPREC ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ BAS 12/31/69	(K) EXCESS (I)-(J)	(L) GAIN (LOSS)
27)	728		735	-7				-7
28)	4,176		9,310	-5,134				-5,134
29)	2,868		16,806.	-13,938				-13,938
30)	38,250		32,528	5,722				5,722
31)	18		0	18				18
32)	30,000		42,764	-12,764				-12,764
33)	834		304	530				530
34)	32,079		11,692	20,387				20,387
35)	25,000		35,565	-10,565				-10,565
36)	14,556		10,411	4,145				4,145
37)	2,409		10,394	-7,985				-7,985
38)	7,040		3,888	3,152				3,152
39)	2,888		1,594	1,294				1,294
40)	3,861		2,414	1,447				1,447
41)	3,859		2,413	1,446				1,446
42)	10,704		6,695	4,009				4,009
43)	25,500		23,490	2,010				2,010
44)	18,188		8,967	9,221				9,221
45)	20,417		10,052	10,365				10,365
46)	1,001		493	508				508
47)	21,965		6,883	15,082				15,082
48)	25,000		23,614	1,386				1,386
49)	18,500		17,474	1,026				1,026
50)	18,492		19,172	-680				-680
51)	50,000		54,352	-4,352				-4,352
52)	24,985		25,024	-39				-39
53)								$ 17,379
							TOTAL	$ 29,490

STATEMENT 10
FORM 990-PF, PART VIII, LINE 1
LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED	COMPEN-SATION	CONTRI-BUTION TO EBP & DC	EXPENSE ACCOUNT/ OTHER
LOIS C BRADDOCK 1129 BROOKVALE DR SAN LEANDRO, CA 94577-3903	AS NEEDED	$ 0	$ 0	$ 0
ROBERT C BRADDOCK 7 STARVIEW DRIVE OAKLAND, CA 94618	AS NEEDED	0	0	0
CHERYL LEE KEEMAR 1720 BARON CT DAYTONA BEACH, FL	AS NEEDED	0	0	0
	TOTAL	$ 0	$ 0	$ 0

STATEMENT 11
FORM 990-PF, PART XV, LINE 2A
NAME AND ADDRESS OF PERSON TO WHOM APPLICANTS SHOULD BE ADDRESSED

ROBERT C BRADDOCK, 1221 BROADWAY, 21ST FLOOR, OAKLAND, CA 94612 (510) 451-3300

STATEMENT 12
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND-ATION STATUS	PURPOSE OF GRANT	AMOUNT
LUNDQUIST COLLEGE OF BUSINESS 1208 UNIVERSITY OF OREGON EUGENE, OR 97403-1208	NONE		EXEMPT PURPOSE OF RECIPIENT	$ 45,000
ARTHRITIS FOUNDATION 657 MISSION STREET, SUITE 603 SAN FRANCISCO, CA 94105	NONE		EXEMPT PURPOSE OF RECIPIENT	10,000
SAN LEANDRO PUBLIC LIBRARY FD 300 ESTUDILLO AVENUE SAN LEANDRO, CA 94577	NONE		EXEMPT PURPOSE OF RECIPIENT	19,200
UNIVERSITY OF OREGON FDN 2727 LEO HARRIS PARKWAY EUGENE, OR 97401	NONE		EXEMPT PURPOSE OF RECIPIENT	2,000
SAN LEANDRO BOYS & GIRLS CLUB P O BOX 234 SAN LEANDRO, CA 94577	NONE		EXEMPT PURPOSE OF RECIPIENT	25,000
WRIGHT FLIGHT OF FLORIDA, INC 2890 BORMAN COURT DAYTONA BEACH, 32128	NONE		EXEMPT PURPOSE OF RECIPIENT	2,500
SAN LEANDRO PUBLIC LIBRARY FD 300 ESTUDILLO AVE SAN LEANDRO, CA 94577	NONE		EXEMPT PURPOSE OF RECIPIENT	8,000
ST PETERS COMMUNITY ADULT DA 290 BROADMOOR BLVD SAN LEANDRO, CA 94577	NONE		EXEMPT PURPOSE OF RECIPIENT	20,000
EDEN MEDICAL CENTER FOUNDATIO 20103 LAKE CHABOT RD CASTRO VALLEY, CA 94546	NONE		EXEMPT PURPOSE OF RECIPIENT	30,224
AMERICAN CANCER SOCIETY 1700 WEBSTER STREET OAKLAND, CA 94612	NONE		EXEMPT PURPOSE OF RECIPIENT	10,000
THE NATURE CONSERVANCY 2015 "J" STREET, SUITE 103 SACRAMENTO, CA 95814	NONE		EXEMPT PURPOSE OF RECIPIENT	5,000

**STATEMENT 12 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR**

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND-ATION STATUS	PURPOSE OF GRANT	AMOUNT
LEAGUE TO SAVE LAKE TAHOE 955 EMERALD BAY RD SOUTH LAKE TAHOE, CA 96150	NONE		EXEMPT PURPOSE OF RECIPIENT	$ 5,000
AMERICAN LAND CONSERVANCY 1388 SUTTER STREET, #810 SAN FRANCISCO, CA 94109	NONE		EXEMPT PURPOSE OF RECIPIENT	10,000
FIRST PRESBYTERIAN CHURCH 180 ESTUDILLO AVE SAN LEANDRO, CA 94577	NONE		EXEMPT PURPOSE OF RECIPIENT	10,000
ST STEPHEN LUTHERAN CHURCH 2705 RANGE AVE #122 SANTA ROSA, CA 95403	NONE		EXEMPT PURPOSE OF RECIPIENT	3,000
NORTHERN CALIFORNIA GRANTMAKE 116 NEW MONTGOMERY ST, STE 72 SAN FRANCISCO, CA 94105	NONE		EXEMPT PURPOSE OF RECIPIENT	2,500
EAST BAY COMMUNITY FOUNDATION 200 FRANK H OGAWA PLAZA OAKLAND, CA 94612	NONE		EXEMPT PURPOSE OF RECIPIENT	5,000
TRUCKEE/TAHOE COMMUNITY FDN P O BOX 366 TRUCKEE, CA 96160	NONE		EXEMPT PURPOSE OF RECIPIENT	5,000
SOS/MEALS ON WHEELS 1435 GROVE WAY HAYWARD, CA 94546	NONE		EXEMPT PURPOSE OF RECIPIENT	5,000
EMBRY-RIDDLE AERONAUTICAL UNI 600 S CLYDE MORRIS BLVD DAYTONA BEACH, CA 32114	NONE		EXEMPT PURPOSE OF RECIPIENT	36,000
GEORGE MARK CHILDREN'S HOUSE 2201 BROADWAY, SUITE 308 OAKLAND, CA 94612	NONE		EXEMPT PURPOSE OF RECIPIENT	50,000
TRUCKEE RIVER HABITAT RESTOR P O BOX 8428 TRUCKEE, CA 96162	NONE		EXEMPT PURPOSE OF RECIPIENT	5,000
SIERRA NEVADA ALLIANCE P O BOX 7989 SOUTH LAKE TAHOE, CA 96158	NONE		EXEMPT PURPOSE OF RECIPIENT	4,000
WILDLIFE SHELTER, INC P O BOX 226 HOMEWOOD, CA 96141	NONE		EXEMPT PURPOSE OF RECIPIENT	2,000
BEGIN AGAIN CHILDRENS	NONE		EXEMPT PURPOSE OF RECIPIENT	500

STATEMENT 12 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUNDATION STATUS	PURPOSE OF GRANT	AMOUNT
EMBRY-RIDDLE AERONAUTICAL UNI 600 S CLYDE MORRIS BLVD DAYTONA BEACH, CA 32114	NONE		EXEMPT PURPOSE OF RECIPIENT	$ 5,700
REGIONAL PARKS FOUNDATION P O BOX 21074 OAKLAND, CA 94620	NONE		EXEMPT PURPOSE OF RECIPIENT	2,000
STAGEBRIDGE 2501 HARRISON ST OAKLAND, CA 94612	NONE		EXEMPT PURPOSE OF RECIPIENT	2,000
COACH STEVE RIDDER SCHOOL	NONE		EXEMPT PURPOSE OF RECIPIENT	1,000
THE VOLUSIA COUNTY PUBLIC LIB 1290 INDIAN LAKE RD DAYTONA BEACH, FL 32124	NONE		EXEMPT PURPOSE OF RECIPIENT	1,321
			TOTAL	$ 331,945