

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

2000

Department of the Treasury
Internal Revenue Service

Note: The organization may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2000, or tax year beginning 7/01, 2000, and ending 6/30, 2001

G Check all that apply: Initial return Final return Amended return Address change Name change

Use the IRS label otherwise, print or type. See Specific Instructions

THE ROBERT AND LOIS BRADDOCK CHARITABLE FOUNDATION C/O ROBERT C. BRADDOCK
1221 BROADWAY, 21ST FLOOR
OAKLAND, CA 94612-1867

A Employer identification number

68-0234966

B Telephone number (see page 10 of the instructions)

(510) 419-2216

C If exemption application is pending, check here

D 1 Foreign organizations, check here

2. Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

H Check type of organization Section 501(c)(3) exempt private foundation

Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16)

J Accounting method Cash Accrual

Other (specify) _____
(Part I, column (d) must be on cash basis)

\$ 6,730,139

Part I

Analysis of Revenue & Expenses
(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in col (a) (see page 10 of the instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach sch)	255,000	SEE STMT 1		
2 Distributions from split-interest trusts				
3 Interest on savings and temporary cash investments	2,135	2,135		
4 Dividends and interest from securities	217,523	217,523		
5a Gross rents				
b (Net rental income or (loss))				
6a Net gain or (loss) from sale of assets not on line 10	390,383	SEE STMT 2		
b Gross sales price for all assets on line 6a 1120773				
7 Capital gain net income (from Part IV, line 2)		390,383		
8 Net short-term capital gain			0	
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	865,041	610,041	0	
13 Compensation of officers, directors, trustees, etc				
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule) SEE STMT 3	7,785			
c Other professional fees (attach schedule) ST 4	12,096			
17 Interest				
18 Taxes (attach sch) (see pp. 1-4 of inst) SEE STMT 5	14,132			
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings	172			
22 Printing and publications				
23 Other expenses (attach schedule) SEE STMT 6	3,347			
24 Total operating and administrative expenses. Add lines 13 through 23	37,532			
25 Contributions, gifts, grants paid SEE PART XV	447,256			447,256
26 Total expenses and disbursements. Add lines 24 and 25	484,788	0	0	447,256
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	380,253			
b Net investment income (if negative, enter -0-)		610,041		
c Adjusted net income (if negative, enter -0-)			0	

RECEIVED FEB 22 2002 OGDEN UT

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1 Cash - non-interest-bearing	37,686	60,132	60,132
	2 Savings and temporary cash investments	20,203	11,890	11,890
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7 Other notes and loans receivable (attach sch) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations (att. sch) ST 7	295,516	376,967	384,303
	b Investments - corporate stock (attach schedule) STATEMENT 8	5,579,445	5,854,114	6,273,814
	c Investments - corporate bonds (attach schedule)			
	11 Investments - land, buildings, and equipment basis ▶			
Less accumulated depreciation (attach schedule) ▶				
12 Investments - mortgage loans				
13 Investments - other (attach schedule) -				
14 Land, buildings, and equipment basis ▶				
Less accumulated depreciation (attach schedule) ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see pg 16 of the instructions Also, see page 1, item I)	5,932,850	6,303,103	6,730,139	
LIABILITIES	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqual persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)	10,000		
23 Total liabilities (add lines 17 through 22)	10,000	0		
NET ASSETS OR FUND BALANCES	Organizations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	5,922,850	6,303,103	
	25 Temporarily restricted			
	26 Permanently restricted			
	Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund.			
29 Retained earnings, accumulated income, endowment, or other funds				
30 Total net assets or fund balances (see page 17 of the instructions)	5,922,850	6,303,103		
31 Total liabilities and net assets/fund balances (see page 17 of the instructions)	5,932,850	6,303,103		

Part III Analysis of Changes In Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,922,850
2 Enter amount from Part I, line 27a	2	380,253
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	6,303,103
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	6,303,103

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE STATEMENT 9				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(j) FMV as of 12/31/69	(k) Adjusted basis as of 12/31/69	(l) Excess of col. (j) over col. (k), if any		
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	390,383
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8 }			3	-6,403

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the organization liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If "Yes," the organization does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
1999	402,197	7,295,321	0.055131
1998	421,364	6,794,327	0.062017
1997	388,331	6,100,969	0.063651
1996	490,013	4,562,592	0.107398
1995	160,494	4,257,472	0.037697
2 Total of line 1, column (d)			2 0.325894
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.065179
4 Enter the net value of noncharitable-use assets for 2000 from Part X, line 5			4 7,228,181
5 Multiply line 4 by line 3			5 471,126
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 6,100
7 Add lines 5 and 6			7 477,226
8 Enter qualifying distributions from Part XII, line 4			8 447,256

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

Table with 11 rows and 2 columns. Row 1: Exempt operating foundations... Row 2: Domestic organizations... Row 3: All other domestic organizations... Row 4: Tax under section 511... Row 5: Add lines 1 and 2... Row 6: Subtitle A (Income) tax... Row 7: Tax based on investment income... Row 8: Credits/Payments... Row 9: 2000 estimated tax payments... Row 10: Exempt foreign organizations... Row 11: Tax paid with application... Row 12: Backup withholding... Row 13: Total credits and payments... Row 14: Enter any penalty... Row 15: Tax due... Row 16: Overpayment... Row 17: Enter the amount of line 10 to be credited...

Part VII-A Statements Regarding Activities

Table with 13 rows and 3 columns (Yes, No, X). Row 1: During the tax year, did the organization attempt to influence any national, state, or local legislation... Row 2: Did it spend more than \$100 during the year... Row 3: Did the organization file Form 1120-POL for this year? Row 4: Enter the amount (if any) of tax on political expenditures... Row 5: Enter the reimbursement (if any) paid by the organization... Row 6: Has the organization engaged in any activities that have not previously been reported to the IRS? Row 7: Has the organization made any changes, not previously reported to the IRS... Row 8: Did the organization have unrelated business gross income of \$1,000 or more during the year? Row 9: Was there a liquidation, termination, dissolution, or substantial contraction during the year? Row 10: Are the requirements of section 508(a) (relating to sections 4941 through 4945) satisfied either... Row 11: Did the organization have at least \$5,000 in assets at any time during the year? Row 12: Enter the states to which the foundation reports or with which it is registered... Row 13: If the answer is "Yes" to line 7, has the organization furnished a copy of Form 990-PF to the Attorney General... Row 14: Is the organization claiming status as a private operating foundation... Row 15: Did any persons become substantial contributors during the tax year? Row 16: Did the organization comply with the public inspection requirements... Row 17: The books are in care of... Row 18: Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041...

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1 a During the year did the organization (either directly or indirectly):
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official?
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?
c Did the organization engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2000?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the organization was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):
a At the end of tax year 2000, did the organization have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2000?
b Are there any years listed in 2a for which the organization is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income?
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here
3 a Did the organization hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2000 as a result of (1) any purchase by the organization or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?
4 a Did the organization invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the organization make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2000?
5 a During the year did the organization pay or incur any amount to:
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?
(3) Provide a grant to an individual for travel, study, or other similar purposes?
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 20 of the instructions)?
c If the answer is "Yes" to question 5a(4), does the organization claim exemption from the tax because it maintained expenditure responsibility for the grant?
6 a Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?
b Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?
If you answered "Yes" to 6b, also file Form 8870

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 20 of the instructions):

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 21 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

3 Five highest-paid independent contractors for professional services - (see page 21 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 22 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See page 22 of the instructions	
3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 22 of the instructions)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a Average monthly fair market value of securities	1a	7,253,749
b Average of monthly cash balances	1b	84,506
c Fair market value of all other assets (see page 23 of the instructions)	1c	
d Total (add lines 1a, b, and c)	1d	7,338,255
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2 Acquisition indebtedness applicable to line 1 assets	2	0
3 Subtract line 2 from line 1d	3	7,338,255
4 Cash deemed held for charitable activities - Enter 1 1/2% of line 3 (for greater amount, see page 23 of the instructions)	4	110,074
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	7,228,181
6 Minimum investment return. Enter 5% of line 5	6	361,409

Part XI Distributable Amount (see page 23 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	361,409
2a Tax on investment income for 2000 from Part VI, line 5	2a	12,201
b Income tax for 2000 (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	12,201
3 Distributable amount before adjustments Subtract line 2c from line 1.	3	349,208
4a Recoveries of amounts treated as qualifying distributions	4a	
b Income distributions from section 4947(a)(2) trusts	4b	
c Add lines 4a and 4b	4c	
5 Add lines 3 and 4c	5	349,208
6 Deduction from distributable amount (see page 23 of the instructions)	6	
7 Distributable amount as adjusted Subtract line 6 from line 5. Enter here and Part XIII, line 1	7	349,208

Part XII Qualifying Distributions (see page 24 of the instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	447,256
b Program-related investments - total of lines 1 - 3 of Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	447,256
5 Organizations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 24 of the instructions)	5	
6 Adjusted qualifying distributions. Subtract line 5 from line 4.	6	447,256

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 24 of the instructions)

	(a) Corpus	(b) Years prior to 1999	(c) 1999	(d) 2000
1 Distributable amount for 2000 from Part XI, line 7				349,208
2 Undistributed Income, if any, as of the end of 1999				
a Enter amount for 1999 only			0	
b Total for prior years 19____, 19____, 19____		0		
3 Excess distributions carryover, if any, to 2000				
a From 1995				
b From 1996				
c From 1997				
d From 1998	89,687			
e From 1999	52,794			
f Total of lines 3a through e	142,481			
4 Qualifying distributions for 2000 from Part XII, line 4				
▶ \$ 447,256				
a Applied to 1999, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required - see page 25 of the instructions)		0		
c Treated as distributions out of corpus (Election required - see page 25 of the instructions)	0			
d Applied to 2000 distributable amount				349,208
e Remaining amount distributed out of corpus	98,048			
5 Excess distributions carryover applied to 2000 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	240,529			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b Taxable amount - see page 25 of the instructions		0		
e Undistributed income for 1999 Subtract line 4a from line 2a Taxable amount - see pg 25 of the instructions			0	
f Undistributed income for 2000 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2001				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(E) or 4942(g)(3) (see page 25 of the instructions)	0			
8 Excess distributions carryover from 1995 not applied on line 5 or line 7 (see page 25 of the instructions)	0			
9 Excess distributions carryover to 2001. Subtract lines 7 and 8 from line 6a	240,529			
10 Analysis of line 9				
a Excess from 1996				
b Excess from 1997				
c Excess from 1998	89,687			
d Excess from 1999	52,794			
e Excess from 2000	98,048			

Part XIV Private Operating Foundations (see page 25 of the instructions and Part VII-A, question 9) N/A

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2000, enter the date of the ruling ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year		Prior 3 years		(e) Total
	(a) 2000	(b) 1999	(c) 1998	(d) 1997	
2a Enter the lesser of the adjusted net income from Part I or the min investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amts incld in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - Enter 2/3 of min investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year - see page 26 of the instructions.)

1 Information Regarding Foundation Managers

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2)) **NONE**

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the organization only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the organization makes gifts, grants, etc., (see page 26 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

SEE STATEMENT 11

b The form in which applications should be submitted and information and materials they should include:

BY LETTER INDICATING PURPOSE, PLAN, AND PARTICIPANTS

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<p>a Paid during the year</p> <p>SEE STATEMENT 12</p>				
Total			▶ 3a	447,256
<p>b Approved for future payment</p>				
Total			▶ 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 26 of the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					2,135
4 Dividends and interest from securities					217,523
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain/(loss) from sales of assets other than inventory					390,383
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e)					610,041
13 Total. Add line 12, columns (b), (d), and (e)				▶ 13	610,041
(See worksheet in line 13 instructions on page 27 to verify calculations)					

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes) (See page 27 of the instructions)
	CHARITABLE GRANTS WERE MADE TO VARIOUS GOVERNMENT AGENCIES AND OTHER SECTION 501(C)(3) ORGANIZATIONS FOR UNRESTRICTED USE IN THEIR RESPECTIVE CHARITABLE PURPOSES.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting organization to a noncharitable exempt organization of:

(1) Cash

(2) Other assets

b Other Transactions

(1) Sales of assets to a noncharitable exempt organization

(2) Purchases of assets from a noncharitable exempt organization

(3) Rental of facilities, equipment, or other assets

(4) Reimbursement arrangements

(5) Loans or loan guarantees

(6) Performance of services or membership or fundraising solicitations

c Sharing of facilities, equipment, mailing lists, other assets, or paid employees

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting organization. If the organization received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

Table with columns Yes and No. Rows include 1a(1), 1a(2), 1b(1) through 1b(6), and 1c. All 'No' boxes are checked with an 'X'.

Table with 4 columns: (a) Line no, (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements. Row 1 contains 'N/A'.

2a Is the organization directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

Yes No (No is checked)

b If "Yes," complete the following schedule

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship. Row 1 contains 'N/A'.

Information, including accompanying schedules and statements, and to the best of my knowledge and belief, the information of preparer (other than taxpayer or fiduciary) is based on all information of

10/15/07 Mr. [Signature]

Underpayment of Estimated Tax by Corporations

Department of the Treasury
Internal Revenue Service

▶ **Instructions are separate. See page 4 for Paperwork Reduction Act Notice**
▶ **Attach to the corporation's tax return.**

2000

Name THE ROBERT AND LOIS BRADDOCK CHARITABLE FOUNDATION C/O ROBERT C. BRADDOCK	Employer identification number 68-0234966
---	---

Note: In most cases, the corporation does not need to file Form 2220 (See Part I below for exceptions) The IRS will figure any penalty owed and bill the corporation. If the corporation does not need to file Form 2220, it may still use it to figure the penalty. Enter the amount from line 34 on the estimated tax penalty line of the corporation's income tax return, but do not attach Form 2220.

Part I: Reasons For Filing - Check the boxes below that apply to the corporation. If any boxes are checked, the corporation must file Form 2220, even if it does not owe the penalty. If the box on line 1 or line 2 applies, the corporation may be able to lower or eliminate the penalty. See page 1 of the instructions.

- 1 The corporation is using the annualized income installment method
- 2 The corporation is using the adjusted seasonal installment method
- 3 The corporation is a "large corporation" figuring its first required installment based on the prior year's tax.

Note: The corporation must also file Form 2220 if it has a research credit allowed for the current year. See the instructions for line 4 on page 2.

Part II: Figuring the Underpayment

4 Total tax (see page 2 of the instructions)		4	12,201
5a Personal holding company tax (Schedule PH (Form 1120), line 26) included on line 4	5a		
b Interest included on line 4 due under the look-back method of section 460(b)(2) for completed long-term contracts or of section 167(g) for property depreciated under the income forecast method	5b		
c Credit for Federal tax paid on fuels (see page 2 of the instructions)	5c		
d Total. Add lines 5a through 5c		5d	
6 Subtract line 5d from line 4. If the result is less than \$500, do not complete or file this form. The corporation does not owe the penalty		6	12,201
7 Enter the tax shown on the corporation's 1999 income tax return. Caution: see page 2 of the instructions before completing this line		7	15,363
8 Enter the smaller of line 6 or line 7. If the corporation must skip line 7, enter the amount from line 6 on line 8		8	12,201

	(a)	(b)	(c)	(d)
9 Installment due dates. Enter in columns (a) through (d) the 15th day of the 4th (Form 990-PF filers: Enter 5th month), 6th, 9th, and 12th months of the corporation's tax year	11/15/00	12/15/00	3/15/01	6/15/01
10 Required installments. If the box on line 1 and/or line 2 above is checked, enter the amounts from Schedule A, line 41. If the box on line 3 (but not 1 or 2) is checked, see page 3 of the instructions for the amounts to enter. If none of these boxes are checked, enter 25% of line 8 above in each column	3,050	3,050	3,050	3,051
11 Estimated tax paid or credited for each period (see page 3 of the instructions). For column (a) only, enter the amount from line 11 on line 15	2,300	2,300	2,300	
Complete lines 12 through 18 of one column before going to the next column.				
12 Enter amount, if any, from line 18 of the preceding col				
13 Add lines 11 and 12		2,300	2,300	
14 Add amounts on lines 16 and 17 of the preceding col		750	1,500	2,250
15 Subtract line 14 from line 13. If zero or less, enter -0-	2,300	1,550	800	0
16 If the amount on line 15 is zero, subtract line 13 from line 14. Otherwise, enter -0-		0	0	
17 Underpayment. If line 15 is less than or equal to line 10, subtract line 15 from line 10. Then go to line 12 of the next column. Otherwise, go to line 18	750	1,500	2,250	3,051
18 Overpayment. If line 10 is less than line 15, subtract line 10 from line 15. Then go to line 12 of the next col				

Complete Part III on page 2 to figure the penalty. If there are no entries on line 17, no penalty is owed.

Part III Figuring the Penalty

SEE ATTACHED SCHEDULE

	(a)	(b)	(c)	(d)
19 Enter the date of payment or the 15th day of the 3rd month after the close of the tax year, whichever is earlier (see page 3 of the instr) (Form 990-PF & Form 990-T filers: Use 5th month instead of 3rd month)	19 12/13/00	3/12/01	7/11/01	11/15/01
20 Number of days from due date of installment on line 9 to the date shown on line 19	20 28	87	118	153
21 No. of days on line 20 after 4/15/00 and before 1/1/01	21 28	16		
22 Underpayment on line 17 x $\frac{\text{No. of days on line 21} \times 9\%}{366}$	22 \$ 5.16	\$ 5.90	\$	\$
23 No. of days on line 20 after 12/31/00 and before 4/1/01	23	71	16	
24 Underpayment on line 17 x $\frac{\text{No. of days on line 23} \times 9\%}{365}$	24 \$	\$ 26.26	\$ 8.88	\$
25 No. of days on line 20 after 3/31/01 and before 7/1/01	25		91	15
26 Underpayment on line 17 x $\frac{\text{No. of days on line 25} \times \%}{365}$	26 \$	\$	\$ 44.88	\$ 10.03
27 No. of days on line 20 after 6/30/01 and before 10/1/01	27		11	92
28 Underpayment on line 17 x $\frac{\text{No. of days on line 27} \times \%}{365}$	28 \$	\$	\$ 4.75	\$ 53.06
29 No. of days on line 20 after 9/30/01 & before 1/1/02	29			46
30 Underpayment on line 17 x $\frac{\text{No. of days on line 29} \times \%}{365}$	30 \$	\$	\$	\$ 26.47
31 No. of days on line 20 after 12/31/01 & before 2/16/02	31			
32 Underpayment on line 17 x $\frac{\text{No. of days on line 31} \times \%}{365}$	32 \$	\$	\$	\$
33 Add lines 22, 24, 26, 28, 30, and 32	33 \$ 5.16	\$ 32.16	\$ 58.51	\$ 89.56
34 Penalty Add columns (a) through (d), of line 33 Enter the total here and on Form 1120, line 33, Form 1120-A, line 29, or the comparable line for other income tax returns			34 \$	185

* For underpayments paid after March 31, 2001 For lines 26, 28, 30, and 32, use the penalty interest rate for each calendar quarter that the IRS will determine during the first month in the preceding quarter. These rates are published quarterly in an IRS News Release and in a revenue ruling in the Internal Revenue Bulletin. To obtain this information on the Internet, access the IRS Web Site at www.irs.gov. You can also call 1-800-829-1040 to get interest rate information.

Installment Period	Underpayment			Penalty			
	Amount	From	To	Days Late	Interest Rate Periods	Rate	Penalty *
1	750	11/15/00	12/13/00	28	4/15/00 - 12/31/00	9.00%	5.16
TOTALS	<u>750</u>						<u>5.16</u>
2	1,500	12/15/00	3/12/01	16	4/15/00 - 12/31/00	9.00%	5.90
				71	1/01/01 - 3/31/01	9.00%	26.26
TOTALS	<u>1,500</u>						<u>32.16</u>
3	2,250	3/15/01	7/11/01	16	1/01/01 - 3/31/01	9.00%	8.88
				91	4/01/01 - 6/30/01	8.00%	44.88
				11	7/01/01 - 9/30/01	7.00%	4.75
TOTALS	<u>2,250</u>						<u>58.51</u>
4	-50	6/15/01	7/11/01	15	4/01/01 - 6/30/01	8.00%	0.16
				11	7/01/01 - 9/30/01	7.00%	0.11
	3,001	6/15/01	11/15/01	15	4/01/01 - 6/30/01	8.00%	9.87
				92	7/01/01 - 9/30/01	7.00%	52.95
				46	10/01/01 - 12/31/01	7.00%	26.47
TOTALS	<u>3,051</u>						<u>89.56</u>

Total Underpayment Penalty **185**

* Underpayment x $\frac{\text{Days Late}}{365 \text{ or } 366}$ x Rate

2000

FEDERAL STATEMENTS

PAGE 1

CLIENT 03544

THE ROBERT AND LOIS BRADDOCK CHARITABLE
FOUNDATION C/O ROBERT C. BRADDOCK

68-0234966

1/21/02

11 59AM

STATEMENT 1
FORM 990-PF, PART I, LINE 1
CONTRIBUTIONS, GIFTS, AND GRANTS

DIRECT CONTRIBUTIONS:

<u>CONTRIBUTOR'S NAME</u>	<u>CONTRIBUTOR'S ADDRESS</u>	<u>AMOUNT OF CONTR.</u>
LOIS BRADDOCK	1129 BROOKVALE SAN LEANDRO, CA 94577	\$ 255,000
TOTAL DIRECT CONTRIBUTIONS		<u>\$ 255,000</u>
TOTAL CONTRIBUTIONS		<u><u>\$ 255,000</u></u>

CLIENT 03544

THE ROBERT AND LOIS BRADDOCK CHARITABLE
FOUNDATION C/O ROBERT C. BRADDOCK

68-0234966

1/21/02

11.59AM

STATEMENT 2
FORM 990-PF, PART I, LINE 6
NET GAIN (LOSS) FROM NONINVENTORY SALES

PUBLICLY TRADED SECURITIES:

GROSS SALES PRICE: 781,226
 COST OR OTHER BASIS: 730,390

GAIN (LOSS) _____ \$ 50,836

CAPITAL GAIN DIVIDENDS 339,547
 TOTAL GAIN (LOSS) \$ 390,383

STATEMENT 3
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	\$ 7,785			
TOTALS	<u>\$ 7,785</u>			

STATEMENT 4
FORM 990-PF, PART I, LINE 16C
OTHER PROFESSIONAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CONSULTING FEES	\$ 12,096			
TOTALS	<u>\$ 12,096</u>			

STATEMENT 5
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL TAX ON INVESTMENT	\$ 14,077			
STATE FILING FEES	55			
TOTALS	<u>\$ 14,132</u>			

THE ROBERT AND LOIS BRADDOCK CHARITABLE
FOUNDATION C/O ROBERT C. BRADDOCK

CLIENT 03544

68-0234966

1/21/02

11.59AM

STATEMENT 6
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INSURANCE	\$ 506			
MISCELLANEOUS	149			
DUES & SUBSCRIPTIONS	2,498			
WEB HOSTING	194			
TOTALS	<u>\$ 3,347</u>			

STATEMENT 7
FORM 990-PF, PART II, LINE 10A
INVESTMENTS - U.S. AND STATE GOVERNMENT OBLIGATIONS

U.S. GOVERNMENT OBLIGATIONS	VAL. METHOD	BOOK VAL.	FAIR MKT. VALUE
GOVERNMENT SECURITIES	COST	\$ 376,967	384,303
		\$ 376,967	384,303
TOTAL		<u>\$ 376,967</u>	<u>384,303</u>

STATEMENT 8
FORM 990-PF, PART II, LINE 10B
INVESTMENTS - CORPORATE STOCKS

CORPORATE STOCKS	VAL. METHOD	BOOK VAL.	FAIR MKT. VALUE
DEAN WITTER MUTUAL FUNDS AND STOCK	COST	\$ 5,854,114	6,273,814
TOTAL		<u>\$ 5,854,114</u>	<u>6,273,814</u>

STATEMENT 9
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

- 1)
 - (A) DESCRIPTION : 1,100 SHS CISCO SYS
 - (B) HOW ACQUIRED : PURCHASED
 - (C) DATE ACQUIRED: 8/09/96
 - (D) DATE SOLD : 9/29/00
- 2)
 - (A) DESCRIPTION : CITIGROUP INC - CASH IN LIEU
 - (B) HOW ACQUIRED : PURCHASED
 - (C) DATE ACQUIRED: 8/06/96
 - (D) DATE SOLD : 8/25/00

THE ROBERT AND LOIS BRADDOCK CHARITABLE
FOUNDATION C/O ROBERT C. BRADDOCK

CLIENT 03544

68-0234966

1/21/02

11.59AM

STATEMENT 9 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

- 3)
(A) DESCRIPTION : 188 SHS LUCENT TECH
(B) HOW ACQUIRED : PURCHASED
(C) DATE ACQUIRED: 1/07/00
(D) DATE SOLD : 9/25/00
- 4)
(A) DESCRIPTION : 1,508 SHS PACIFICORP
(B) HOW ACQUIRED : PURCHASED
(C) DATE ACQUIRED: 8/02/96
(D) DATE SOLD : 11/20/00
- 5)
(A) DESCRIPTION : 434 SHS PACIFICORP
(B) HOW ACQUIRED : PURCHASED
(C) DATE ACQUIRED: 6/30/00
(D) DATE SOLD : 11/20/00
- 6)
(A) DESCRIPTION : 422 SHS PACIFICORP
(B) HOW ACQUIRED : PURCHASED
(C) DATE ACQUIRED: 10/02/00
(D) DATE SOLD : 11/20/00
- 7)
(A) DESCRIPTION : 1,586 SHS PACIFIC TEL
(B) HOW ACQUIRED : PURCHASED
(C) DATE ACQUIRED: 8/02/96
(D) DATE SOLD : 2/15/01
- 8)
(A) DESCRIPTION : 500 SHS PACIFIC TEL
(B) HOW ACQUIRED : PURCHASED
(C) DATE ACQUIRED: 4/05/00
(D) DATE SOLD : 2/15/01
- 9)
(A) DESCRIPTION : 99 SHS PACIFIC TEL
(B) HOW ACQUIRED : PURCHASED
(C) DATE ACQUIRED: 4/05/00
(D) DATE SOLD : 2/15/01
- 10)
(A) DESCRIPTION : 300 SHS COMPUTER ASSOC
(B) HOW ACQUIRED : PURCHASED
(C) DATE ACQUIRED: 8/06/96
(D) DATE SOLD : 4/17/01
- 11)
(A) DESCRIPTION : 112 SHS COMPUTER ASSOC
(B) HOW ACQUIRED : PURCHASED
(C) DATE ACQUIRED: 11/22/99
(D) DATE SOLD : 4/17/01
- 12)
(A) DESCRIPTION : 778 SHS PFIZER INC
(B) HOW ACQUIRED : PURCHASED
(C) DATE ACQUIRED: 8/13/96
(D) DATE SOLD : 4/12/01

THE ROBERT AND LOIS BRADDOCK CHARITABLE
FOUNDATION C/O ROBERT C. BRADDOCK

CLIENT 03544

68-0234966

1/21/02

11.59AM

STATEMENT 9 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

13)

(A) DESCRIPTION : 468 SHS PFIZER INC
(B) HOW ACQUIRED : PURCHASED
(C) DATE ACQUIRED: 8/13/96
(D) DATE SOLD : 4/12/01

14)

(A) DESCRIPTION : 66 SHS WENDYS INTL
(B) HOW ACQUIRED : PURCHASED
(C) DATE ACQUIRED: 8/08/96
(D) DATE SOLD : 4/17/01

15)

(A) DESCRIPTION : 700 SHS WENDYS INTL
(B) HOW ACQUIRED : PURCHASED
(C) DATE ACQUIRED: 8/08/96
(D) DATE SOLD : 4/17/01

16)

(A) DESCRIPTION : 300 SHS WENDYS INTL
(B) HOW ACQUIRED : PURCHASED
(C) DATE ACQUIRED: 8/08/96
(D) DATE SOLD : 4/17/01

17)

(A) DESCRIPTION : 3,900.156 SHS ALLIANCE GRWTH B
(B) HOW ACQUIRED : PURCHASED
(C) DATE ACQUIRED: 8/02/96
(D) DATE SOLD : 5/16/01

18)

(A) DESCRIPTION : 436 SHS COCA COLA CO
(B) HOW ACQUIRED : PURCHASED
(C) DATE ACQUIRED: 8/08/96
(D) DATE SOLD : 5/14/01

19)

(A) DESCRIPTION : 366 SHS CONEXANT SYSTEMS
(B) HOW ACQUIRED : PURCHASED
(C) DATE ACQUIRED: 8/08/96
(D) DATE SOLD : 5/01/01

20)

(A) DESCRIPTION : 186 SHS CONEXANT SYSTEMS
(B) HOW ACQUIRED : PURCHASED
(C) DATE ACQUIRED: 6/23/99
(D) DATE SOLD : 5/01/01

21)

(A) DESCRIPTION : 250 SHS CONEXANT SYSTEMS
(B) HOW ACQUIRED : PURCHASED
(C) DATE ACQUIRED: 8/17/99
(D) DATE SOLD : 5/01/01

22)

(A) DESCRIPTION : 600 SHS FIRST UNION CORP
(B) HOW ACQUIRED : PURCHASED
(C) DATE ACQUIRED: 8/09/96
(D) DATE SOLD : 5/01/01

CLIENT 03544

THE ROBERT AND LOIS BRADDOCK CHARITABLE
FOUNDATION C/O ROBERT C. BRADDOCK

68-0234966

1/21/02

11.59AM

STATEMENT 9 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

- 23)
(A) DESCRIPTION : 40 SHS FIRST UNION CORP
(B) HOW ACQUIRED : PURCHASED
(C) DATE ACQUIRED: 8/09/96
(D) DATE SOLD : 5/01/01
- 24)
(A) DESCRIPTION : 3,653.636 SHS MSDW AMRCN OPP B
(B) HOW ACQUIRED : PURCHASED
(C) DATE ACQUIRED: 8/13/96
(D) DATE SOLD : 5/16/01
- 25)
(A) DESCRIPTION : 905.798 SHS MSDW DIVIDEND GROWTH B
(B) HOW ACQUIRED : PURCHASED
(C) DATE ACQUIRED: 8/02/96
(D) DATE SOLD : 5/17/01
- 26)
(A) DESCRIPTION : 2,992.221 SHS MSDW VALUE-ADDED B
(B) HOW ACQUIRED : PURCHASED
(C) DATE ACQUIRED: 8/02/96
(D) DATE SOLD : 5/22/01
- 27)
(A) DESCRIPTION : WILLIAMS COMM - CASH IN LIEU
(B) HOW ACQUIRED : PURCHASED
(C) DATE ACQUIRED: 8/08/96
(D) DATE SOLD : 4/23/01
- 28)
(A) DESCRIPTION : 73 SHS WILLIAMS COMM
(B) HOW ACQUIRED : PURCHASED
(C) DATE ACQUIRED: 8/08/96
(D) DATE SOLD : 5/01/01
- 29)
(A) DESCRIPTION : 576.462 SHS WILLIAMS COMM
(B) HOW ACQUIRED : PURCHASED
(C) DATE ACQUIRED: 8/08/96
(D) DATE SOLD : 5/01/01
- 30)
(A) DESCRIPTION : 392.605 SHS WILLIAMS COMM
(B) HOW ACQUIRED : PURCHASED
(C) DATE ACQUIRED: 8/08/96
(D) DATE SOLD : 5/01/01
- 31)
(A) DESCRIPTION : 230.933 SHS WILLIAMS COMM
(B) HOW ACQUIRED : PURCHASED
(C) DATE ACQUIRED: 1/11/01
(D) DATE SOLD : 5/01/01
- 32)
(A) DESCRIPTION : 1,508 SHS FARMERS GP
(B) HOW ACQUIRED : PURCHASED
(C) DATE ACQUIRED: 8/02/96
(D) DATE SOLD : 6/01/01

THE ROBERT AND LOIS BRADDOCK CHARITABLE
FOUNDATION C/O ROBERT C. BRADDOCK

CLIENT 03544

68-0234966

1/21/02

11.59AM

STATEMENT 9 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

- 33)
(A) DESCRIPTION : 987 SHS FARMERS GP
(B) HOW ACQUIRED : PURCHASED
(C) DATE ACQUIRED: 2/02/00
(D) DATE SOLD : 6/01/01
- 34)
(A) DESCRIPTION : 2,152 SHS NORTEL NETWORKS
(B) HOW ACQUIRED : PURCHASED
(C) DATE ACQUIRED: 9/01/98
(D) DATE SOLD : 6/11/01
- 35)
(A) DESCRIPTION : 81 SHS NORTEL NETWORKS
(B) HOW ACQUIRED : PURCHASED
(C) DATE ACQUIRED: 9/25/00
(D) DATE SOLD : 6/11/01
- 36)
(A) DESCRIPTION : 781 SHS SIMON PPTY GROUP
(B) HOW ACQUIRED : PURCHASED
(C) DATE ACQUIRED: 1/22/97
(D) DATE SOLD : 6/01/01
- 37)
(A) DESCRIPTION : WORLDCOM - CASH IN LIEU
(B) HOW ACQUIRED : PURCHASED
(C) DATE ACQUIRED: 1/06/99
(D) DATE SOLD : 6/07/01
- 38)
(A) DESCRIPTION : CAPITAL GAIN DIVIDENDS

	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST/ BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
1)	61,605		7,329	54,276				54,276
2)	19			19				19
3)	5,647		10,122	-4,475				-4,475
4)	37,700		37,888	-188				-188
5)	10,850		10,145	705				705
6)	10,550		10,339	211				211
7)	39,650		37,866	1,784				1,784
8)	12,500		11,125	1,375				1,375
9)	2,475		2,203	272				272
10)	9,907		11,003	-1,096				-1,096
11)	3,699		7,444	-3,745				-3,745
12)	31,189		9,644	21,545				21,545
13)	18,761		5,801	12,960				12,960
14)	1,513		1,274	239				239
15)	16,048		13,519	2,529				2,529

THE ROBERT AND LOIS BRADDOCK CHARITABLE
FOUNDATION C/O ROBERT C. BRADDOCK

CLIENT 03544

68-0234966

1/21/02

11 59AM

STATEMENT 9 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST/ BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
16)	6,878		5,793	1,085				1,085
17)	100,000		104,351	-4,351				-4,351
18)	19,705		21,677	-1,972				-1,972
19)	3,741		20,649	-16,908				-16,908
20)	1,901		5,488	-3,587				-3,587
21)	2,556		8,656	-6,100				-6,100
22)	17,703		19,424	-1,721				-1,721
23)	1,180		1,295	-115				-115
24)	100,000		114,824	-14,824				-14,824
25)	50,000		37,116	12,884				12,884
26)	100,000		100,763	-763				-763
27)	4			4				4
28)	325		119	206				206
29)	2,567		939	1,628				1,628
30)	1,748		640	1,108				1,108
31)	1,028		832	196				196
32)	37,789		38,544	-755				-755
33)	24,733		23,450	1,283				1,283
34)	25,351		20,723	4,628				4,628
35)	954		5,641	-4,687				-4,687
36)	20,946		23,764	-2,818				-2,818
37)	4			4				4
38)								
								339,547
								<u>\$ 390,383</u>

STATEMENT 10
FORM 990-PF, PART VIII, LINE 1
LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

NAME AND ADDRESS	TITLE & AVG. HRS/WK DEVOTED	COMP.	EMPLOYEE BEN. PLN CONTRIB.	EXPENSE ACCOUNT/ OTHER
LOIS C. BRADDOCK 1129 BROOKVALE DR. SAN LEANDRO, CA 94577-3903	AS NEEDED	\$ 0	0	0
ROBERT C. BRADDOCK 7 STARVIEW DRIVE OAKLAND, CA 94618	AS NEEDED	0	0	0

THE ROBERT AND LOIS BRADDOCK CHARITABLE
FOUNDATION C/O ROBERT C. BRADDOCK

CLIENT 03544

68-0234966

1/21/02

11.59AM

STATEMENT 10 (CONTINUED)
FORM 990-PF, PART VIII, LINE 1
LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

<u>NAME AND ADDRESS</u>	<u>TITLE & AVG. HRS/WK DEVOTED</u>	<u>COMP.</u>	<u>EMPLOYEE BEN. PLN CONTRIB.</u>	<u>EXPENSE ACCOUNT/ OTHER</u>
CHERYL LEE KEEMAR 1720 BARON CT. DAYTONA BEACH, FL	AS NEEDED	\$ 0	0	0
		TOTAL \$	<u>0</u>	<u>0</u>

STATEMENT 11
FORM 990-PF, PART XV, LINE 2A
NAME AND ADDRESS OF PERSON TO WHOM APPLICANTS SHOULD BE ADDRESSED

ROBERT C. BRADDOCK, 1221 BROADWAY, 21ST FLOOR, OAKLAND, CA 94612 (510)
451-3300

STATEMENT 12
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

<u>NAME AND ADDRESS</u>	<u>DONEE/RECIPIENT RELATIONSHIP</u>	<u>FNDTN. STATUS</u>	<u>PURPOSE OF GRANT/CONTRIB.</u>	<u>AMOUNT</u>
LUNDQUIST COLLEGE OF BUSIN	NONE		EXEMPT PURPOSE OF RECIPIENT	\$ 60,000
ARTHRITIS FOUNDATION	NONE		EXEMPT PURPOSE OF RECIPIENT	14,865
SAN LEANDRO PUBLIC LIBRARY	NONE		EXEMPT PURPOSE OF RECIPIENT	32,450
UNIVERSITY OF OREGON	NONE		EXEMPT PURPOSE OF RECIPIENT	5,000
SAN LEANDRO BOYS & GIRLS C	NONE		EXEMPT PURPOSE OF RECIPIENT	25,000

THE ROBERT AND LOIS BRADDOCK CHARITABLE
FOUNDATION C/O ROBERT C. BRADDOCK

CLIENT 03544

68-0234966

1/21/02

11:59AM

STATEMENT 12 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

<u>NAME AND ADDRESS</u>	<u>DONEE/RECIPIENT RELATIONSHIP</u>	<u>FNDTN. STATUS</u>	<u>PURPOSE OF GRANT/CONTRIB.</u>	<u>AMOUNT</u>
ALAMEDA CTY COMMUNITY FOOD	NONE		EXEMPT PURPOSE OF RECIPIENT	\$ 1,000
SAN LEANDRO PUBLIC LIBRARY	NONE		EXEMPT PURPOSE OF RECIPIENT	16,800
ST. PETERS COMMUNITY ADULT	NONE		EXEMPT PURPOSE OF RECIPIENT	38,000
EDEN MEDICAL CENTER FOUNDA	NONE		EXEMPT PURPOSE OF RECIPIENT	30,224
AMERICAN CANCER SOCIETY	NONE		EXEMPT PURPOSE OF RECIPIENT	18,395
THE NATURE CONSERVANCY	NONE		EXEMPT PURPOSE OF RECIPIENT	15,000
LEAGUE TO SAVE LAKE TAHOE	NONE		EXEMPT PURPOSE OF RECIPIENT	17,500
AMERICAN LAND CONSERVANCY	NONE		EXEMPT PURPOSE OF RECIPIENT	20,000
FIRST PRESBYTERIAN CHURCH	NONE		EXEMPT PURPOSE OF RECIPIENT	15,000
ST. STEPHEN LUTHERAN CHURC	NONE		EXEMPT PURPOSE OF RECIPIENT	5,000
NORTHERN CALIFORNIA GRANTEM	NONE		EXEMPT PURPOSE OF RECIPIENT	7,000

CLIENT 03544

THE ROBERT AND LOIS BRADDOCK CHARITABLE
FOUNDATION C/O ROBERT C. BRADDOCK

68-0234966

1/21/02

11.59AM

STATEMENT 12 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE/RECIPIENT RELATIONSHIP	FNDTN. STATUS	PURPOSE OF GRANT/CONTRIB.	AMOUNT
EAST BAY COMMUNITY FOUNDAT	NONE		EXEMPT PURPOSE OF RECIPIENT	\$ 10,000
TRUCKEE/TAHOE COMMUNITY FD	NONE		EXEMPT PURPOSE OF RECIPIENT	7,000
SOS/MEALS ON WHEELS	NONE		EXEMPT PURPOSE OF RECIPIENT	8,022
POVERELLO HOUSE	NONE		EXEMPT PURPOSE OF RECIPIENT	7,000
EMBRY-RIDDLE AERONAUTICAL	NONE		EXEMPT PURPOSE OF RECIPIENT	45,000
GEORGE MARK CHILDREN'S HOU	NONE		EXEMPT PURPOSE OF RECIPIENT	25,000
SAN LEANDRO CHARTER SCHOOL	NONE		EXEMPT PURPOSE OF RECIPIENT	1,000
TRUCKEE RIVER HABITAT REST	NONE		EXEMPT PURPOSE OF RECIPIENT	5,000
EAST BAY CANCER SUPPORT GR	NONE		EXEMPT PURPOSE OF RECIPIENT	1,000
MERCY BROWN BAG PROGRAM	NONE		EXEMPT PURPOSE OF RECIPIENT	1,000
AVON BREAST CANCER	NONE		EXEMPT PURPOSE OF RECIPIENT	1,000

CLIENT 03544

THE ROBERT AND LOIS BRADDOCK CHARITABLE
FOUNDATION C/O ROBERT C. BRADDOCK

68-0234966

1/21/02

11.59AM

STATEMENT 12 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

<u>NAME AND ADDRESS</u>	<u>DONEE/RECIPIENT RELATIONSHIP</u>	<u>FNDTN. STATUS</u>	<u>PURPOSE OF GRANT/CONTRIB.</u>	<u>AMOUNT</u>
SIERRA NEVADA ALLIANCE	NONE		EXEMPT PURPOSE OF RECIPIENT	\$ 8,000
WILDLIFE SHELTER, INC.	NONE		EXEMPT PURPOSE OF RECIPIENT	1,500
ESTUARY ACTION CHALLENGE	NONE		EXEMPT PURPOSE OF RECIPIENT	2,500
BERKELEY HIGH JAZZ	NONE		EXEMPT PURPOSE OF RECIPIENT	3,000
			TOTAL	<u>\$ 447,256</u>

Application for Extension of Time to File an Exempt Organization Return

Department of the Treasury
Internal Revenue Service

▶ File a separate application for each return

- If you are filing for an Automatic 3-Month Extension, complete only Part I and check this box
- If you are filing for an Additional (not automatic) 3-Month Extension, complete only Part II (on page 2 of this form)

Note. Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Part I Automatic 3-Month Extension of Time - Only submit original (no copies needed) ▶

Note: Form 990-T corporations requesting an automatic 6-month extension - check this box and complete Part I only ▶

All other corporations (including Form 990-C filers) must use Form 7004 to request an extension of time to file income tax returns. Partnerships, REMICs and trusts must use Form 8736 to request an extension of time to file Form 1065, 1066, or 1041

Type or print File by the due date for filing your return See instructions	Name of Exempt Organization THE ROBERT AND LOIS BRADDOCK CHARITABL	Employer Identification Number
	FOUNDATION C/O ROBERT C. BRADDOCK	68-0234966
	Number, Street, and Room or Suite Number If a P O Box, see instructions 1221 BROADWAY, 21ST FLOOR	
	City, Town or Post Office For a foreign address, see instructions	State ZIP Code
	OAKLAND, CA 94612-1867	

Check type of return to be filed (file a separate application for each return)

- | | | |
|---|--|------------------------------------|
| <input type="checkbox"/> Form 990 | <input type="checkbox"/> Form 990-T (corporation) | <input type="checkbox"/> Form 4720 |
| <input type="checkbox"/> Form 990-BL | <input type="checkbox"/> Form 990-T (Section 401(a) or 408(a) trust) | <input type="checkbox"/> Form 5227 |
| <input type="checkbox"/> Form 990-EZ | <input type="checkbox"/> Form 990-T (trust other than above) | <input type="checkbox"/> Form 6069 |
| <input checked="" type="checkbox"/> Form 990-PF | <input type="checkbox"/> Form 1041-A | <input type="checkbox"/> Form 8870 |

- If the organization does not have an office or place of business in the United States, check this box
- If this is for a group return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box If it is for part of the group, check this box and attach a list with the names and EINs of all members the extension will cover

- 1 I request an automatic 3-month (6-month, for 990-T corporation) extension of time until 2/15, 20 02, to file the exempt organization return for the organization named above. The extension is for the organization's return for
- ▶ calendar year 20 ____ or
 - ▶ tax year beginning 7/01, 20 00, and ending 6/30, 20 01
- 2 If this tax year is for less than 12 months, check reason Initial return Final return Change in accounting period
- 3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions \$ 12,500
- b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit \$ 9,200
- c **Balance Due** Subtract line 3b from line 3a Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions \$ 3,300

Signature and Verification

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ▶ *Robert C. Braddock* Title ▶ President Date ▶ 11/14/01

KFA For Paperwork Reduction Act Notice, see Instructions.

Form 8868 (12-2000)